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DEPARTMENT OF ENVIRONMENTAL PROTECTION

## Chapter 373:

## **FINANCIAL CAPACITY AND TECHNICAL ABILITY STANDARD OF THE SITE LOCATION LAW**

SUMMARY: This chapter includes rules adopted pursuant to the “financial capacity” and “technical ability” standard of the Site Location of Development (Site Law), 38 M.R.S.A. § 484(1). These rules describe the scope of review of the Board in determining a developer's compliance with the “financial capacity” standard of the Site Location Law (38 M.R.S.A., Section 484(1); the information which shall be submitted, when appropriate, within an application for approval; and, the terms and conditions which the Board may impose on the approval of an application to ensure compliance with the standard.

**1. Introduction.** To meet state environmental standards, the applicant must have a sufficient level of financial capacity and technical ability. This chapter relates to the financial capacity and technical ability standard of the Site Location of Development Law (Site Law). 38 M.R.S.A. § 484(1).

### **24. Financial Capacity to Meet Pollution Control Standards**

**A. Standard. Scope of Review.** In determining whether the developer has the financial capacity to meet state air and water pollution control standards,, the Board department shall consider all relevant evidence to the effect that the developer has the financial capacity to construct, operate, and maintain all aspects of the development, and not just the pollution control aspects. The applicant shall have financial capacity to design, construct, operate, and maintain the development in a manner consistent with state environmental standards and the provisions of the Site Law. The applicant must have the financial capacity to design and construct all aspects of the development, and not solely the environmental protection aspects. Evidence of financial capacity must be provided prior to a decision on an application, except as otherwise conditioned by the department.

The department may issue a permit under the Site Law that conditions any site alterations upon a developer providing the department with evidence that the permittee has been granted a line of credit or a loan by a financial institution authorized to do business in this State as defined in Title 9-B, section 131, subsection 17-A or with evidence as provided in Section 2(B).

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NOTE: The Supreme Judicial Court of Maine stated in the case of *In re: Maine Clean Fuels, Inc.*, 310 A. 2d 736, 755 (1973) that “it is clear that the ability to finance the cost of meeting pollution standards is inexorably a part of the ability to obtain total financing.” Furthermore, the Board's experience with developers has shown that air and water pollution control equipment is usually installed after all other aspects of the development are completed. If the developer's funds run low or run out toward the end of development, the pollution control aspects of the development may be slighted. Therefore, in determining financial capacity, the Board requires proof of adequate funding for the completion of a development, including the pollution control aspects.

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**B. Submissions.** The aApplications for approval of a proposed developments shall must include evidence that affirmatively demonstrates that the developer has the financial capacity to undertake design, construct, operate, and maintain the proposed development, including but not limited to, the following information such as the following, when appropriate.:

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- (1) Cost estimates. Accurate and complete cost estimates of the development, including all proposed phases. The itemization of major costs may include, but not be limited to, the cost of the following activities: land purchase, erosion control, roads, sewers, structures, water supply, utilities, pollution abatement and landscaping.
- (2) Time schedule. The time schedule for construction of all phases proposed, and for satisfying pollution abatement measures.
- (3) Evidence of funds. Evidence such as the following:
  - (a) (a) Letter of commitment or intent to fund. A letter from a financial institution, governmental agency, or other funding ~~agency entity~~ indicating a commitment to provide to the applicant a specified amount of funds and the uses for which the funds may be ~~utilized.~~ utilized. ~~(4) In~~ In cases where funding is required but there can be no commitment of money until approvals are received, a letter of "intent to fund" from the appropriate funding institution indicating the amount of funds intended to be provided to the applicant and their specified uses for which the funds are intended.

In cases where one or more limited liability corporations are part of the applicant's corporate structure, evidence must be submitted describing the applicant's corporate structure, and demonstrating that the proposed financing is clearly linked from the financing institution to the applicant.

(b) Self-financing

- (i) ~~(5)~~ The most recent corporate annual report or financial statements indicating availability of sufficient funds to finance the development together ~~with explanatory material explaining interpreting the report, when requested,~~ and evidence that funds have been set aside for the proposed development. Corporate annual reports or financial statements should be audited, or evidence must be submitted describing why audited reports are not available.
- (ii) ~~(6)~~ Copies of bank statements of accounts held by the applicant or other evidence indicating ~~availability of that funds, are available and have been set aside for the proposed development.~~ when the developer will personally finance the development.

(c) Government agency

- (i) Evidence that funds to complete the development have been included in an approved budget, that the expenditure of funds has been approved by the appropriate legal entity such as the municipality or the Legislature, that the issuance of bonds has been approved to cover the cost of the development, or that grant money has been obtained to cover development costs.
- (ii) In cases where funding is required but there can be no commitment of money until approvals are received, a detailed plan outlining how funds for the development will be obtained and evidence that legal authority exists to implement the plan.

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(d) Non-profit organization.

(i) Evidence that funds to complete the development have been included in an approved budget and that grant money or other funds have been obtained to cover the development costs.

(ii) In cases where funding is required but there can be no commitment of money until approvals are received, a detailed plan outlining how funds for the development will be obtained, including projections for and status of any fund raising.

(4) Phased development. The department may find that the applicant has demonstrated adequate financial capacity to comply with department requirements provided (a) the applicant has demonstrated financial capacity for a separate first phase, and (b) the permit is conditioned to require that evidence of financial capacity adequate for review and approval be submitted to the department prior to construction of each subsequent phase. Construction of each subsequent phase may not begin prior to approval of financial capacity.

(5) Certificate of Good Standing. If the applicant is a registered corporation, provide a Certificate of Good Standing (available from the Secretary of State).

**C. Terms and Conditions.** The ~~department Board~~ may, as a term or condition of approval, establish any reasonable requirement to ensure that the developer has and will maintain the financial capacity to meet state environmental air and water pollution control standards, such as: the following.

(1) Performance bond. Requiring the posting of a performance bond to ensure that the air and water pollution control plans are completed as approved.

(2) Phased development. Prior to the start of the first phase of construction and each subsequent phase, the permittee shall provide a cost estimate for that phase as well as evidence that the applicant has been granted a sufficient line of credit or a loan by a financial institution authorized to do business in this State or evidence of any other form of financial assurance determined by this Chapter to be adequate by the department for review and approval.

(3) Dedication of funds. Prior to the start of construction, the permittee shall submit, to the department for review and approval, final financial arrangements indicating that funds have been specifically dedicated to the proposed development costs.

### **32. Technical Ability to Meet Air and Water Pollution Control Standards**

~~**A. Preamble.** The Board is concerned that the developer have not only the financial capacity but also the technical ability and skilled manpower to meet pollution control standards, particularly when a large scale development includes sophisticated pollution abatement measures.~~

~~**AB. Standards. Scope of Review.** In determining whether the developer has the technical ability to meet state air and water pollution control standards, the department Board shall consider all~~

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~~relevant evidence to that effect, such as: the following.~~ The developer shall have the technical capacity-ability to design, construct, and operate, and maintain the proposed development in a manner consistent with state environmental standards.

- (1) Capability. ~~Evidence that p~~Project personnel are must be capable of properly designing, installing, operating and maintaining pollution control devices the proposed project in accordance with state environmental standards.
- (2) Adaptation. ~~Evidence that, even i~~f the applicant's technical personnel have never before constructed or operated designed, constructed, and operated a development like the one proposed, competent engineering and field operational personnel must will be available who and can adapt their training and experience to accomplish the required tasks.
- (3) Prior conduct. ~~The department may consider e~~Evidence regarding the developer's prior conduct as a measure of willingness and ability to meet all terms and conditions of approval established by the department Board.

**BC. Submissions.** ~~The a~~Applications for approval of a proposed development must shall include evidence that affirmatively demonstrates that the developer has the technical ability to design, construct, operate and maintain undertake the proposed development, including but not limited to the following information such as the following, when appropriate.:

- (1) Experience and training. A statement of the developer's prior experience or appropriate training, or both, relating to the nature of the proposed development.
- (2) Personnel. A description of the types of personnel who will be ~~employed-used~~ to design, ~~install construct, and operate, and maintain the proposed development-pollution control measures, including but not limited to resumes, or similar documents describing experience with similar projects.~~

**CD. Terms and Conditions.** ~~The department Board~~ may, as a term or condition of approval, establish any reasonable requirement to ensure that the developer has the technical ability to design, construct, and operate and maintain the development in a manner consistent with state environmental standards, meet state air and water pollution control standards, such as: the following.

- (1) Professional assistance. ~~Requiring the developer to employ~~ The permittee shall employ a capable professional engineer or other professional knowledgeable and experienced in the disciplines necessary to ensure that state air and water pollution control environmental standards are met.
- (2) Training program. ~~Requiring~~ The permittee shall implement a training program for the appropriate personnel to acquaint them with the operation and maintenance of pollution control equipment, and with state environmental control standards.

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(3) ~~Third-party inspector (3PI). When the development is of substantial size and of a complex nature, requiring provision for an independent consultant to conduct on-site inspection, at the developer's expense, to ensure proper execution of plans as approved, including any conditions imposed by the Board. The permittee shall retain the services of a third-party inspector in accordance with the Department's third party inspection program, if required by the Department.~~

~~(4) Prior approval of site contractor. Prior to the start of construction, the permittee shall submit details related to the site contractor to the department for review and approval.~~

### **3. Adequate Provision for Solid Waste Disposal**

**A. Scope of Review.** ~~In determining whether the developer has made adequate provision for solid waste disposal, the Board shall consider all relevant evidence to that effect, such as evidence that:~~

~~(1) All solid waste will be disposed of in a manner which ensures that:~~

~~(a) No adverse effects on the natural environment will result;~~

~~(b) Public health, safety, and welfare will not be adversely affected; and~~

~~(c) The wastes will not combine with other wastes, water, or other natural or man-made substances to create additional harmful effects to the natural environment or the public health, safety, and welfare.~~

**B. Submissions.** ~~Applications for approval of a proposed development shall include evidence that affirmatively demonstrates that the developer has made adequate provision for solid waste disposal, including information such as the following, when appropriate:~~

~~(1) The types and estimated quantities of solid waste to be generated by the development and the proposed method of disposal.~~

~~(2) A letter from the operator of a solid waste disposal facility or a municipality stating that adequate capacity exists for solid waste generated by the development and that the development may utilize the solid waste disposal facility.~~

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**NOTE:** ~~The Board may deny approval if the solid waste disposal facility proposed to be used is not in compliance with applicable state laws and regulations.~~

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~~(3) When the proposed development is or includes the establishment of a solid waste disposal facility, the developer shall supply evidence of compliance with the Solid Waste Management Act (38 M.R.S.A., Section 1301 et seq.).~~

**C. Terms and Conditions.** ~~The Board may, as a term or condition of approval, establish any reasonable requirement to ensure that the developer has made adequate provision for solid waste disposal, such as requiring:~~

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- ~~(1) a groundwater quality monitoring program;~~
- ~~(2) on-site construction supervision or engineering inspection by a certified engineer or geologist;~~
- ~~(3) operational inspections and reports by an independent consultant.~~

**~~4. Adequate Provisions for the Control of Odors~~**

- ~~**A. Preamble.** The Board recognizes that offensive odors may be generated by solid waste disposal facilities and certain types of commercial and industrial developments and that these odors can have an undesirable effect on surrounding uses and people living in the area.~~
- ~~**B. Scope of Review.** In determining whether the developer has made adequate provision for the control of odors, the Board shall consider all relevant evidence to that effect.~~
- ~~**C. Submissions.** Applications for approval of any development likely to be the source of offensive odors shall include evidence that affirmatively demonstrates that the developer has made adequate provision for the control of odors, including information such as the following, when appropriate:
  - ~~(1) the identification of any sources of odors from the development;~~
  - ~~(2) an estimation of the area which would be affected by the odor, based on general experience in dealing with the material or process used in the development, or similar materials or processes;~~
  - ~~(3) proposed systems for enclosure of odor-producing materials and processes, and proposed uses of technology to control, reduce or eliminate odors.~~~~
- ~~**D. Terms and Conditions.** The Board may, as a term or condition of approval, establish any reasonable requirement to ensure that the developer has made adequate provision for the control of odors.~~

**~~5. Adequate Provision for Securing and Maintaining Sufficient and Healthful Water Supplies~~**

- ~~**A. Scope of Review.** In determining whether the developer has made adequate provision for securing and maintaining a sufficient and healthful water supply, the Board shall consider all relevant evidence to that effect.~~
- ~~**B. Submissions.** Applications for approval of proposed developments shall include evidence that affirmatively demonstrates that the developer has made adequate provision for securing and maintaining a sufficient and healthful water supply, including information such as the following, when appropriate:~~

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- ~~(1) A letter from the appropriate utility or water district that a sufficient and healthful water supply exists and may be utilized by the development.~~
- ~~(2) If water is to be supplied on site, a letter from a geologist or well driller knowledgeable about the area where the development is located that a sufficient and healthful water supply is likely to be available.~~
  - ~~(a) If there is reasonable doubt that a sufficient and healthful water supply can be provided by means of on site wells, the following may be required:~~
    - ~~(i) water from wells located in close proximity to the development site be tested for potability; and/or~~
    - ~~(ii) a test well be dug or drilled on the development site and a report prepared indicating the volume and potability of water obtained from the well.~~
- ~~(3) If water supply and sewage disposal are to be handled on site, and if lots are less than 2 acres in size, identification of the location of wells and on site sewage disposal systems for each lot. The separation distance between wells and on site sewage disposal areas shall be at least the minimum distance established in the State of Maine Plumbing Code.~~
- ~~(4) If water is to be provided by a common source:~~
  - ~~(a) evidence that there will be sufficient water to serve the development;~~
  - ~~(b) evidence that the common water supply system will be constructed in conformance with the Maine Drinking Water Regulations, authorized by 22 M.R.S.A., Section 601; and~~
  - ~~(c) evidence that adequate provision has been made for the establishment of a mechanism to ensure proper operation and maintenance of the water supply system.~~

~~**C. Terms and Conditions.** The Board may, as a term or condition of approval, establish any reasonable requirement to ensure the adequate provision of a sufficient and healthful water supply, such as requiring that:~~

- ~~(1) One or more central wells be installed with adequate water for the development.~~
- ~~(2) An applicant arrange for adequate water service with a local utility or water district, or provide an adequate off site common well, in cases where the Board determines that on site water supplies may not be adequate.~~
- ~~(3) The location of wells and on site sewage disposal areas be established by deed conditions.~~

AUTHORITY: 38 M.R.S.A. Section 343

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EFFECTIVE DATE: November 1, 1979

EFFECTIVE DATE (ELECTRONIC CONVERSION): May 4, 1996

AMENDED EFFECTIVE:



**Chapter 375: NO ADVERSE ENVIRONMENTAL EFFECT STANDARD OF THE SITE LOCATION LAW**

SUMMARY: These regulations describe the scope of review of the Board in determining a developer's compliance with the "no adverse effect on the natural environment" standard of the Site Location Law (38 M.R.S.A. Section 484(3)); the information which shall be submitted, when appropriate, within an application for approval; and, the terms and conditions which the Board may impose on the approval of an application to ensure compliance with the standard.

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NOTE: In determining whether the developer has made adequate provision for fitting the development harmoniously into the existing natural environment and that the development will not adversely affect existing uses, scenic character, or natural resources in the municipality or in neighboring municipalities, the Board has identified several specific areas of concern which are dealt with in detail below.

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**1. No Unreasonable Adverse Effect On Air Quality**

- A. Preamble.** The Board recognizes that point source emissions from certain types of commercial and industrial developments and solid waste disposal facilities and non-point source emissions deriving from industrial, commercial, and governmental developments can have an unreasonable adverse effect on air quality.
- B. Scope of Review.** In determining whether the proposed development will have an unreasonable adverse effect on ambient air quality, through point or non-point sources of chemical pollutants or particulate matter, the Board shall consider all relevant evidence to that effect, such as evidence that:
- (1) The best practicable treatment of point sources of air pollution will be utilized and that point source emissions meet state ambient air quality standards and state emissions standards.
  - (2) The amount of air pollution produced from either point or non-point sources of air emissions will be consistent with the Board's "Policy on Air Quality Use," adopted March 28, 1979.
- C. Submissions.** Applications for approval of proposed industrial, commercial and governmental developments and solid waste disposal facilities shall include evidence that affirmatively demonstrates that there will be no unreasonable adverse effect on air quality, including information such as the following, when appropriate:
- (1) Evidence that an Air Emission License has been or will be obtained.
  - (2) Evidence that increased traffic generated by the development will not significantly effect the ambient air quality. Modeling of the effect of non-point sources of air pollution on ambient air quality may be requested.

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**D. Terms and Conditions.** The Board may, as a term or condition of approval, establish any reasonable requirement to ensure that the proposed development will have no unreasonable adverse effect on air quality, such as requiring that:

- (1) Emissions from point sources of pollution be monitored.
- (2) The size of the parking lots be limited in order to limit the amount of non-point source pollutants generated by the development.

### 2. No Unreasonable Alteration of Climate

**A. Preamble.** The Board recognizes the potential of large-scale, heavy industrial facilities, such as power generating plants, to affect the climate in the vicinity of their location by causing changes in climatic characteristics such as rainfall, fog, and relative humidity patterns.

**B. Scope of Review.** In determining whether the proposed development will cause an unreasonable alteration of climate, the Board shall consider all relevant evidence to that effect.

**C. Submissions.** Applications for approval of large-scale, heavy industrial developments, such as power generating plants, shall include evidence that affirmatively demonstrates that there will be no unreasonable alteration of climate, including information such as the following, when appropriate:

- (1) Evidence that the proposed development will not unreasonably alter the existing cloud cover, fog, or rainfall characteristics of the area.

**D. Terms and Conditions.** The Board may, as a term or condition of approval, establish any reasonable requirement to ensure that the proposed development will not cause an unreasonable alteration of climate.

### 3. No Unreasonable Alteration of Natural Drainage Ways

**A. Scope of Review.** In determining whether the proposed development will cause an unreasonable alteration of natural drainage ways, the Board shall consider all relevant evidence to that effect, such as evidence that:

- (1) Where a development site is traversed by a natural water course, drainage way, channel, or stream, a drainage right-of-way will be provided that substantially conforms with the lines of such natural water courses. Such rights-of-way shall be at least thirty feet in width.
- (2) Any grading or other construction activity on the site will cause no unreasonable alteration of natural drainage ways such that drainage, other than that which occurred prior to development, will adversely affect adjacent parcels of land and that drainage ways flowing from adjacent parcels of land to the development site will be impeded.

**B. Submissions.** Applications for approval of proposed developments shall include evidence that affirmatively demonstrates that there will be no unreasonable alteration of natural drainage ways, including information such as the following, when appropriate.

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- (1) A plan showing all existing water courses, drainage ways, channels, or streams to be affected by the development, and the nature, width and location of proposed easements, rights-of-way, culverts, catch basins or other means of channeling surface water within the development and over adjacent parcels of land.
- (2) Deed covenants which establish the easements or rights-of-way and provide for their continued maintenance.

**C. Terms and Conditions.** The Board may, as a term or condition of approval, establish any reasonable requirement to ensure that there will be no unreasonable alteration of natural drainage ways.

**4. No Unreasonable Effect on Runoff/Infiltration Relationships**

**A. Preamble.** The Board recognizes that some developments cause unreasonable increases in stormwater runoff by decreasing the infiltrative capacity of the soils on a development site. The Board also recognizes that increases in stormwater runoff cause increased danger of flooding, the pollution of surface water bodies, and the depletion of groundwater resources.

**B. Scope of Review.** In determining whether the proposed development will have an unreasonable effect on runoff/infiltration relationships, the Board shall consider all relevant evidence to that effect, such as evidence that:

- (1) A stormwater management system will infiltrate, detain, or retain water falling on the site during a storm of an intensity equal to a twenty-five year, twenty-four hour storm such that the rate of flow of stormwater from the development does not exceed the rate of outflow of stormwater from the site prior to the undertaking of the development.
  - (a) Developments which convey stormwater directly into the ocean (excluding estuarine tidewaters) exclusively in manmade piped or open drainage systems are exempt from the requirements of this subsection.
- (2) The physical, biological, and chemical properties of the receiving waters will not be unreasonably degraded by the stormwater runoff from the development site.
- (3) The peak discharge of the receiving waters will not be increased as the result of the stormwater runoff from the development site for storms up to a level of intensity of a twenty-five year, twenty-four hour storm.

**C. Submissions.** Applications for approval of proposed developments shall include evidence that affirmatively demonstrates that there will be no unreasonable effect on runoff/infiltration relationships, including information such as the following, when appropriate:

- (1) Evidence that the proposed stormwater management system has been designed by a professional engineer or other person duly qualified to undertake the design. The designer of the system will evaluate the effectiveness of various stormwater methods and develop and

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make available for review the hydraulic computations based on accepted engineering practices to demonstrate that the standards established under subsection B, above, will be met.

- (2) Evidence that the stormwater management system will take into consideration the upstream runoff which must pass over or through the development site. The system will be designed to pass upstream flows generated by a twenty-five year frequency through the proposed development without overloading the system or flooding areas not specifically planned for such flooding.
- (3) Evidence that the design of piped or open channel systems will be based on a ten year flow frequency without overloading or flooding beyond channel limits. In addition, the areas expected to be flooded by runoff of a twenty-five year frequency will be designated, and no structures will be planned within such area.
- (4) Evidence that, where permanent embankment-type storage or retention basins are planned, the basins will be designed in accordance with good engineering practice, such as outlined in the Soil Conservation Service Engineering Field Manual or other appropriate references.
- (5) Evidence that rights-of-way or easements will be designated for all components of the stormwater management system lying outside of established street lines.
- (6) Evidence that the developer will maintain all components of the stormwater management system until it is formally accepted by the municipality or a quasi-municipal district, or is placed under the jurisdiction of a legally created association that will be responsible for the maintenance of the system. The charter of such an association must be acceptable to the Board.
- (7) Evidence that the stormwater management system will be fully coordinated with project site plans, including consideration of street patterns, pedestrian ways, open space, building siting, parking areas, recreational facilities, and other utilities, especially sanitary wastewater disposal facilities.
- (8) When the construction of a development is to occur in phases, the planning of the stormwater management system should encompass the entire site which may ultimately be developed, and not limited to an initial or limited phases of the development.

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NOTE: The following references may be of assistance to a developer in making the necessary computations and in designing the stormwater management system:

"Urban Hydrology for Small Watersheds", Technical Release No. 55, USDA, Soil Conservation Service, University of Maine, Orono, Maine.

"Water Resources Protection Measures in Land Development - A Handbook", Tourbier and Westmacott, University of Delaware Water Resources Center, Newark, Delaware.

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**D. Terms and Conditions.** The Board may, as a term or condition of approval, establish any reasonable requirement to ensure that there will be no unreasonable effect on runoff/infiltration relationships.

## 5. Erosion and Sedimentation Control

- A. Preamble.** The Board recognizes the importance of controlling erosion and sedimentation to protect water quality and wildlife and fisheries habitat. Additionally, the Board considers topsoil to be a natural resource which should be properly managed. Control of erosion and sedimentation is a concern both during and after construction activities.
- B. Scope of Review.** In determining whether the developer has made adequate provision for controlling erosion and sedimentation, the Board shall consider all relevant evidence to that effect, such as evidence that:
- (1) All earth changes will be designed, constructed, and completed in such a manner so that the exposed area of any disturbed land will be limited to the shortest period of time possible.
  - (2) Sediment caused by accelerated soil erosion will be removed from runoff water before it leaves the development site.
  - (3) Any temporary or permanent facility designed and constructed for the conveyance of water around, through, or from the development site will be designed to limit the water flow to a non-erosive velocity.
  - (4) Permanent soil erosion control measures for all slopes, channels, ditches, or any disturbed land area will be completed within fifteen calendar days after final grading has been completed. When it is not possible or practical to permanently stabilize disturbed land, temporary erosion control measures will be implemented within thirty calendar days of the exposure of soil.
  - (5) When vegetative cover will be established as a temporary or permanent erosion control measure:
    - (a) Plant species to be used and the seeding rates will take into account soil, slope, climate, and duration and use of the vegetative cover.
    - (b) Mulch will be provided at rates appropriate to ensure a minimum of soil and seed loss until an acceptable "catch" of seed is obtained.
    - (c) Reseeding will be done within a reasonable period of time if there is not an acceptable "catch".
  - (6) All development plans will incorporate building designs and street layouts that fit and utilize existing topography and desirable natural surroundings to the fullest extent possible.
- C. Submissions.** Applications for approval of proposed developments shall include evidence that affirmatively demonstrates that adequate provision will be made to control erosion and sedimentation, including information such as the following when appropriate:

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- (1) A comprehensive erosion and sedimentation control plan, designed in accordance with the "Maine Environmental Quality Handbook", the U.S.D.A., Soil Conservation Service's "Engineering Field Manual", or another appropriate reference, which includes the following information:
  - (a) A description and location of the limits of all proposed construction activities which result in the disturbance of the land.
  - (b) A description and location of all existing and proposed on-site drainage.
  - (c) The timing and sequence of all proposed land disturbances.
  - (d) A description and location of all proposed temporary and permanent erosion and sedimentation control measures, including the timing and sequence of their completion.
  - (e) A proposed program for the maintenance of all erosion and sedimentation control facilities which will remain after the project is completed, including a designation of the responsible party.

**D. Terms and Conditions.** The Board may, as a term or condition of approval, establish any reasonable requirement to ensure that the developer will make adequate provision to control erosion and sedimentation, such as requiring that:

- (1) Erosion control devices be in place before the commencing of other construction activities.
- (2) Construction activity be limited to certain times of the year, particularly when soil type, slope, and the extent of area to be stripped pose serious potential for erosion and sedimentation.

### 6. No Unreasonable Adverse Effect on Surface Water Quality

**A. Preamble.** The Board recognizes that developments have the potential to cause the pollution of surface waters through both point and non-point sources of pollution.

**B. Scope of Review.** In determining whether the proposed development will have an unreasonable adverse effect on surface water quality, the Board shall consider all relevant evidence to that effect, such as evidence that:

- (1) The development or reasonably foreseeable consequences of the development will not discharge any water pollutants which affect the state classification of a surface water body (38 M.R.S.A. Section 363 et seq.).
- (2) The best practicable treatment of point sources of water pollutants will be utilized.
- (3) The total phosphorous concentrations in all tributaries to great ponds will not exceed the standard established in Department Regulation 583.1 as the result of the proposed development.

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(4) Any effect on surface water temperature will be in compliance with all appropriate standards established in Department Regulations 582.1 - 582.8.

**C. Submissions.** Applications for approval of proposed developments shall include evidence that affirmatively demonstrates that there will be no unreasonable adverse effect on surface water quality, including information such as the following, when appropriate:

(1) Where sewage disposal is to be handled off-site by a municipal or quasi-municipal sewage treatment facility, a letter from the authorized agent of the facility stating that there is adequate capacity to ensure satisfactory treatment.

(2) Evidence that a waste discharge license, as required by 38 M.R.S.A. Sections 413 et seq., has been or will be obtained.

**D. Terms and Conditions.** The Board may, as a term or condition of approval, establish any reasonable requirement to ensure that the proposed development will have no unreasonable adverse effect on surface water quality.

**7. No Unreasonable Adverse Effect on Ground Water Quality**

**A. Preamble.** The Board recognizes the importance of protecting ground water resources in order to promote the future health, safety, and welfare of the citizens of Maine through the maintenance of an adequate supply of safe drinking water.

**B. Scope of Review.** In determining whether the proposed development will have an unreasonable adverse effect on ground water quality, the Board shall consider all relevant evidence to that effect, such as evidence that:

(1) The development will not result in the existing ground water quality becoming inferior to the physical, biological, chemical, and radiological levels for raw and untreated drinking water supply sources specified in the Maine State Drinking Water Regulations, pursuant to 22 M.R.S.A. Section 601. If the existing ground water quality is inferior to the State Drinking Water Regulations, the developer will not degrade the water quality any further.

**C. Rebuttable Presumption Against Disposal of Waste in Certain Areas.** The Board operates under the rebuttable presumption that the storage and/or disposal of solid wastes, hazardous wastes, and leachable or liquid wastes, including petroleum products and septage, pose serious threats to public health, safety, and welfare through the potential pollution of the ground water when such storage and/or disposal occurs on or above sand and gravel aquifers or the recharge areas of sand and gravel aquifers.

NOTE: Maps of sand and gravel aquifers and their recharge areas are available for portions of the state from the Bureau of Geology, Department of Conservation, Augusta.

(1) An applicant seeking approval for a development which involves one or more of the activities specified above, must overcome this presumption by persuasive evidence that the development is unique in some way that allows for compliance with the intent of this subsection.

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**D. Submissions.** Applications for approval of proposed developments shall include evidence that affirmatively demonstrates that there will be no unreasonable adverse effect on ground water quality, including information such as the following, when appropriate:

- (1) A comprehensive list, including physical and chemical characteristics and projected quantities of wastes to be disposed of or stored within the proposed development which may potentially contaminate the ground water.
- (2) Methods for preventing ground water pollution as the result of the disposal and/or storage of wastes.
- (3) An evaluation of the geological, hydrologic, and soils conditions of the development site.
- (4) Data establishing background ground water quality.
- (5) Proposed plan of action, and alternatives, to be followed in the event the proposed development results in ground water contamination.

**E. Terms and Conditions.** The Board may, as a term or condition of approval, establish any reasonable requirement to ensure that the proposed development will have no unreasonable adverse effect on ground water quality, such as requiring that:

- (1) A ground water monitoring program be established and reports be filed with the Department at designated intervals.
- (2) Specified wastes not be disposed of or stored within the proposed development.

**8. No Unreasonable Adverse Effect on Ground Water Quantity**

**A. Preamble.** The Board recognizes the importance of maintaining an adequate supply of ground water for drinking purposes. The Board also recognizes that the depletion of ground water resources can result in the intrusion of salt water into potable ground water supplies and can affect the hydrologic characteristics of surface water bodies (peak flows, low flows and water levels) resulting in adverse effects on their assimilative capacity and recreational use, as well as on certain wildlife habitats. Additionally, new wells can cause a lowering of the ground water supply to the point where existing wells run dry, particularly during the late summer and early fall.

**B. Scope of Review.** In determining whether the proposed development will have an unreasonable adverse effect on ground water quantity, the Board shall consider all relevant evidence to that effect, such as evidence that:

- (1) The quantity of water to be taken from ground water sources will not substantially lower the found water table, cause salt water intrusion, cause undesirable changes in ground water flow patterns, or cause unacceptable ground subsidence.



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**C. Submissions.** Applications for approval of proposed developments shall include evidence that affirmatively demonstrates that there will be no unreasonable adverse effect on ground water quantity, including information such as the following, where appropriate:

- (1) Estimates of the quantity of ground water to be used by the proposed development.
- (2) In the areas where salt water intrusion, the lowering of the ground water level, or land subsidence have been or can be reasonably be expected to be a problem, a report by a duly qualified person addressing the potential effects of ground water use by the proposed development.

**D. Terms and Conditions.** The Board may, as a term or condition of approval establish any reasonable requirement to ensure that there will be no unreasonable adverse effect on ground water quantity, such as requiring that:

- (1) A development obtain its water from a surface water source, public community supply, or utility.
- (2) Wells in the surrounding area be monitored to determine the effect of the development on ground water levels.
- (3) People in the surrounding area, whose wells are adversely affected by the development, be provided with new wells or another source of potable water for their use and consumption.

### 9. Buffer Strips

**A. Preamble.** The Board recognizes the importance of natural buffer strips in protecting water quality and wildlife habitat. The Board also recognizes that buffer strips can serve as visual screens which can serve to lessen the visual impact of incompatible or undesirable land uses. The width and nature of buffer strips, if required, shall be determined by the Board on a case-by-case basis.

**B. Scope of Review.** In determining whether the developer has made adequate provision for buffer strips, when appropriate, the Board shall consider all relevant evidence to that effect, such as evidence that:

- (1) Water bodies within or adjacent to the development will be adequately protected from sedimentation and surface runoff by buffer strips.
- (2) Buffer strips will provide adequate space for movement of wildlife between important habitats.
- (3) Buffer strips will shield adjacent uses from unsightly developments and lighting.

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NOTE: The following GUIDELINES should be considered in establishing visual buffer strips.

- (1) Plant materials used in the screen planting will be at least four feet high when planted and be of such evergreen species as will produce ultimately a dense visual screen at least

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eight feet high. Alternatively, a six-foot high wooden fence, without openings wider than 1", may be substituted.

- (2) The screen will be maintained permanently, and any plant material which does not live will be replaced within one year.
  - (3) Screen planting will be so placed that at maturity it will be no closer than three feet away from any street or property line.
  - (4) The screen will be broken only at points of vehicular or pedestrian access.
  - (5) Fencing and screening will be so located within the developer's property line to allow access for maintenance on both sides without intruding upon abutting properties.
- 

- C. Excavations for Sand, Gravel, Clay, Silt, Topsoil, or Rock** -- Buffer strips associated with an excavation for sand, gravel, clay, silt, topsoil, or rock must meet the buffer strip standards specified in Performance Standards for Excavations, 38 M.R.S.A. § 490-D, and Performance Standards for Quarries, 38 M.R.S.A. § 490-Z. These standards apply in lieu of Section 9(B) (1)-(3).

A gravel pit previously licensed under the Site Location of Development Law, 38 M.R.S.A. § 484, may apply for a modification of the buffer strip requirements in such a permit. The Department may approve such modification if the buffer strip at least meets the minimum standards of §§ 490-D and 490-Z and the proposed excavation will not result in an unreasonable adverse impact on the natural environment.

- D. Submissions.** Applications for approval of proposed developments shall include evidence that affirmatively demonstrates that adequate provision of buffer strips, when appropriate, will be made, including information such as the following:

- (1) The location and width of all natural buffer strips to be retained.
- (2) The nature, location, width, and height of all vegetative buffer strips or architectural screens to be established.
- (3) Legal provisions for the maintenance of all buffer strips and architectural screens.

- E. Terms and Conditions.** The Board may, as a term or condition of approval, establish any reasonable requirement to ensure that the developer has made adequate provision for the establishment of buffer strips, such as requiring:

- (1) The maintenance of existing vegetation as a natural buffer strip, which shall remain as a permanent feature of the landscape.
- (2) The incorporation of buffer strip maintenance into deed covenants in projects where deed transfers of property to the general public are contemplated.
- (3) Written permission of the Department of Environmental Protection for activities which may adversely affect a body of water or wildlife habitat protected by a natural buffer strip, such

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as: removal of live trees, stump and hot systems, and the displacement of rocks, topsoil and similar activities which would cause or allow increased soil erosion.

- (4) The establishment of particular species of vegetation.
- (5) The use of particular materials, colors, and styles in the construction of architectural screens.

## **10. Control of Noise**

**A. Preamble.** The Board recognizes that the construction, operation and maintenance of developments may cause excessive noise that could degrade the health and welfare of nearby neighbors. It is the intent of the Board to require adequate provision for the control of excessive environmental noise from developments proposed after the effective date of this regulation.

### **B. Applicability**

- (1) This regulation applies to proposed developments within municipalities without a local quantifiable noise standard and in unorganized areas of the State. When a proposed development is located in a municipality which has duly enacted by ordinance an applicable quantifiable noise standard, which (1) contains limits that are not higher than the sound level limits contained in this regulation by more than 5 dBA, and (2) limits or addresses the various types of noises contained in this regulation or all the types of noises generated by the development, that local standard, rather than this regulation, shall be applied by the Board within that municipality for each of the types of sounds the ordinance regulates. This regulation applies to developments located within one municipality when the noise produced by the development is received in another municipality and, in these cases, the Board will also take into consideration the municipalities' quantifiable noise standards, if any.
- (2) This regulation applies to expansions and modifications of developments when such expansions and modifications are proposed after the effective date of this regulation and subject to site location approval, but only to the noise produced by the proposed expansion or modification of the development, unless (1) the existing development was constructed since 1-1-70 and (2) at the time of construction, the existing development was too small to require site location approval. In situations where conditions (1) and (2) above apply, then this regulation applies to the whole development (both existing facility and proposed expansion or modification). This regulation also applies to expansions and modifications of existing developments when such expansions and modifications require an amendment to the development's Site Law permit, but only to the noise produced by the expansion or modification.
- (3) This regulation does not apply to existing developments or portions of existing developments constructed prior to 1-1-70 or approved under the Site Law prior to the effective date of this regulation. This regulation does not apply to relicensing of existing solid waste facilities previously approved under the Site Law.
- (4) The sound level limits contained in this regulation apply only to areas that are defined as protected locations, and to property lines of the proposed development or contiguous

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property owned by the developer, whichever are farther from the proposed development's regulated sound sources.

- (5) The sound level limits contained in this regulation do not apply to noise received within the development boundary.

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NOTE: The Board will reconsider the effect and operation of the regulation one year from its effective date.

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**C. Sound Level Limits**

**(1) Sound From Routine Operation of Developments**

- (a) Except as noted in subsections (b) and (c) below, the hourly sound levels resulting from routine operation of the development and measured in accordance with the measurement procedures described in subsection H shall not exceed the following limits:

- (i) At any property line of the development or contiguous property owned by the developer, whichever is farther from the proposed development's regulated sound sources:

75 dBA at any time of day.

- (ii) At any protected location in an area for which the zoning, or, if unzoned, the existing use or use contemplated under a comprehensive plan, is not predominantly commercial, transportation, or industrial;

60 dBA between 7:00 a.m. and 7:00 p.m.  
(the "daytime hourly limit"), and  
50 dBA between 7:00 p.m. and 7:00 a.m.  
(the "nighttime hourly limit").

- (iii) At any protected location in an area for which the zoning, or, if unzoned, the existing use or use contemplated under a comprehensive plan, is predominantly commercial, transportation, or industrial:

70 dBA between 7:00 a.m. and 7:00 p.m.  
(the "daytime hourly limit"), and  
60 dBA between 7:00 p.m. and 7:00 a.m.  
(the "nighttime hourly limit").

- (iv) For the purpose of determining whether the use of an unzoned area is predominantly commercial, transportation, or industrial (e.g. non-residential in nature), the Department shall consider the municipality's comprehensive plan, if any. Furthermore, the usage of properties abutting each protected location shall be determined, and the limits applied for that protected location shall be based upon the usage occurring along the greater portion of the perimeter of that parcel; in the event

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the portions of the perimeter are equal in usage, the limits applied for that protected location shall be those for a protected location in an area for which the use is not predominantly commercial, transportation, or industrial.

- (v) When a proposed development is to be located in an area where the daytime pre-development ambient hourly sound level at a protected location is equal to or less than 45 dBA and/or the nighttime pre-development ambient hourly sound level at a protected location is equal to or less than 35 dBA, the hourly sound levels resulting from routine operation of the development and measured in accordance with the measurement procedures described in subsection H shall not exceed the following limits at that protected location:

55 dBA between 7:00 a.m. and 7:00 p.m.  
(the "daytime hourly limit"), and  
45 dBA between 7:00 p.m. and 7:00 a.m.  
(the "nighttime hourly limit").

For the purpose of determining whether a protected location has a daytime or nighttime pre-development ambient hourly sound level equal to or less than 45 dBA or 35 dBA, respectively, the developer may make sound level measurements in accordance with the procedures in subsection H or may estimate the sound-level based upon the population density and proximity to local highways. If the resident population within a circle of 3,000 feet radius around a protected location is greater than 300 persons, or the hourly sound level from highway traffic at a protected location is predicted to be greater than 45 dBA in the daytime or 35 dBA at night (as appropriate for the anticipated operating schedule of the development), then the developer may estimate the daytime or nighttime pre-development ambient hourly sound level to be greater than 45 dBA or 35 dBA, respectively.

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NOTE: Highway traffic noise can be predicted using the nomograph method of FHWA Highway Traffic Noise Prediction Model, FHWA-RD-77-108, December, 1978.

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- (vi) Notwithstanding the above, the developer need not measure or estimate the pre-development ambient hourly sound levels at a protected location if he demonstrates, by estimate or example, that the hourly sound levels resulting from routine operation of the development will not exceed 50 dBA in the daytime or 40 dBA at night.
- (b) If the developer chooses to demonstrate by measurement that the daytime and/or nighttime pre-development ambient sound environment at any protected location near the development site exceeds the daytime and/or nighttime limits in subsection 1(a)(ii) or 1(a)(iii) by at least 5 dBA, then the daytime and/or nighttime limits shall be 5 dBA less than the measured daytime and/or nighttime pre-development ambient hourly sound level at the location of the measurement for the corresponding time period.
- (c) For any protected location near an existing development, the hourly sound level limit for routine operation of the existing development and all future expansions of that development shall be the applicable hourly sound level limit of 1(a) or 1(b) above, or, at

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the developer's election, the existing hourly sound level from routine operation of the existing development plus 3 dBA.

- (d) For the purposes of determining compliance with the above sound level limits, 5 dBA shall be added to the observed levels of any tonal sounds that result from routine operation of the development.
- (e) When routine operation of a development produces short duration repetitive sound, the following limits shall apply:
  - (i) For short duration repetitive sounds, 5 dBA shall be added to the observed levels of the short duration repetitive sounds that result from routine operation of the development for the purposes of determining compliance with the above sound level limits.
  - (ii) For short duration repetitive sounds resulting from scrap metal, drop forge and metal fabrication operations or developments which the Board determines, due to their character and/or duration, are particularly annoying or pose a threat to the health and welfare of nearby neighbors, 5 dBA shall be added to the observed levels of the short duration repetitive sounds that result from routine operation of the development for the purposes of determining compliance with the above sound level limits, and the maximum sound level of the short duration repetitive sounds shall not exceed the following limits:
    - (a) At any protected location in an area for which the zoning, or, if unzoned, the existing use or use contemplated under a comprehensive plan, is not predominantly commercial, transportation, or industrial:

65 dBA between 7:00 a.m. and 7:00 p.m., and  
55 dBA between 7:00 p.m. and 7:00 a.m.
    - (b) At any protected location in an area for which the zoning, or, if unzoned, the existing use or use contemplated under a comprehensive plan, is predominantly commercial, transportation, or industrial:

75 dBA between 7:00 a.m. and 7:00 p.m., and  
65 dBA between 7:00 p.m. and 7:00 a.m.
- (c) The methodology described in subsection 1(a)(iv) shall be used to determine whether the use of an unzoned area is predominantly commercial, transportation, or industrial.
- (d) If the developer chooses to demonstrate by measurement that the pre-development ambient hourly sound level at any protected location near the development site exceeds 60 dBA between 7:00 a.m. and 7:00 p.m., and/or 50 dBA between 7:00 p.m. and 7:00 a.m., then the maximum sound level limit for short duration repetitive sound shall be 5 dBA greater than the measured pre-development ambient hourly sound level at the location of the measurement for the corresponding time period.

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- (e) For any protected location near an existing development, the maximum sound level limit for short duration repetitive sound resulting from routine operation of the existing development and all future expansions and modifications of that development shall be the applicable maximum sound level limit of (e)(ii)(a) or (e)(ii)(b) above, or, at the developer's election, the existing maximum sound level of the short duration repetitive sound resulting from routine operation of the existing development plus 3 dBA.

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NOTE: The maximum sound level of the short duration repetitive sound shall be measured using the fast response [L<sub>AFmax</sub>]. See the definition of maximum sound level.

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**(2) Sound From Construction of Developments**

- (a) The sound from construction activities between 7:00 p.m. and 7:00 a.m. is subject to the following limits:
- (i) Sound from nighttime construction activities shall be subject to the nighttime routine operation sound level limits contained in subsections 1(a) and 1(b).
  - (ii) If construction activities are conducted concurrently with routine operation, then the combined total of construction and routine operation sound shall be subject to the nighttime routine operation sound level limits contained in subsections 1(a) and 1(b).
  - (iii) Higher levels of nighttime construction sound are permitted when a duly issued permit authorizing nighttime construction sound in excess of these limits has been granted by:
    1. the local municipality when the duration of the nighttime construction activity is less than or equal to 90 days,
    2. the local municipality and the Board when the duration of the nighttime construction activity is greater than 90 days.
- (b) Sound from construction activities between 7:00 a.m. and 7:00 p.m. shall not exceed the following limits at any protected location:

<b>Duration of Activity</b>	<b>Hourly Sound Level Limit</b>
12 hours	87 dBA
8 hours	90 dBA
6 hours	92 dBA
4 hours	95 dBA
3 hours	97 dBA
2 hours	100 dBA
1 hour or less	105 dBA

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- (c) All equipment used in construction on development sites shall comply with applicable federal noise regulations and shall include environmental noise control devices in proper working condition, as originally provided with the equipment by its manufacturer.

**(3) Sound From Maintenance Activities**

- (a) Sound from routine, ongoing maintenance activities shall be considered part of the routine operation of the development and the combined total of the routine maintenance and operation sound shall be subject to the routine operation sound level limits contained in subsection 1.
- (b) Sound from occasional, major, scheduled overhaul activities shall be subject to the construction sound level limits contained in subsection 2. If overhaul activities are conducted concurrently with routine operation and/or construction activities, the combined total of the overhaul, routine operation and construction sound shall be subject to the construction sound level limits contained in subsection 2.

**(4) Sound From Production Blasting**

Sound exceeding the limits of subsection 1 and resulting from production blasting at a mine or quarry shall be limited as follows:

- (a) Blasting shall not occur in the period between sundown and sunrise the following day or in the period between the hours of 7:00 p.m. and 7:00 a.m., whichever is greater. In addition, no routine production blasting shall be allowed in the daytime on Sundays.
- (b) Blasting shall not occur more frequently than four times per day.
- (c) Sound from blasting shall not exceed the following limits at any protected location:

<b>Number of Blasts Per Day</b>	<b>Sound Level Limit</b>
1	129 dBL
2	126 dBL
3	124 dBL
4	123 dBL.

Blast sound shall be measured in peak linear sound level (dBL) with a linear response down to 5 Hz.

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NOTE: See Bureau of Mines Report of Investigations 8485 for information on airblast sound levels and pertinent scaled distances.

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**(5) Exemptions**

Sound associated with the following shall be exempt from regulation by the Board:

- (a) Railroad equipment which is subject to federal noise regulations.



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- (b) Aircraft operations which are subject to federal noise regulations.
- (c) Registered and inspected vehicles:
  - (i) while operating on public ways, or
  - (ii) which enter the development to make a delivery or pickup and which are moving, starting or stopping, but not when they are parked for over 60 minutes in the development.
- (d) Watercraft while underway.
- (e) Residential developments, except during construction of such developments.
- (f) Bells, chimes and carillons.
- (g) occasional sporting, cultural, religious or public events allowed by the local municipality where the only affected protected locations are contained within that municipality.
- (h) The unamplified human voice and other sounds of natural origin.
- (i) Firming, fishing and aquacultural activity.
- (j) Forest management, harvesting and transportation activities.
- (k) Making, maintaining and grooming snow where the only affected protected locations are contained within the general boundaries of a ski area development.
- (l) Snow removal, landscaping and street sweeping activities.
- (m) Emergency maintenance and repairs.
- (n) Warning signals and alarms.
- (o) Safety and protective devices installed in accordance with code requirements.
- (p) Test operations of emergency equipment occurring in the daytime and no more frequently than once per week.
- (q) Boiler start-up, testing and maintenance operations occurring no more frequently than once per month.
- (r) Major concrete pours that must extend after 7:00 p.m., when started before 3:00 p.m.
- (s) Sounds from a regulated development received at a protected location when the generator of the sound has been conveyed a noise easement for that location. This exemption shall only be for the specific noise, land and term covered by the easement.

- (t) A force majeure event and other causes not reasonably within the control of the owners or operators of the development.

**(6) Noise Abatement Structures**

Noise abatement structures of a non-permanent nature in any one location for a duration of less than one year and erected for the sole purpose of noise control shall not be considered structures as defined in 38 MRSA subsection 482(6).

**D. Submissions**

**(1) Developments with Minor Sound Impact**

An applicant for a proposed development with minor sound impact may choose to file as part of the site location application a statement attesting to the minor nature of the anticipated sound impact of their development. An applicant proposing an expansion or modification of an existing development with minor sound impact may follow the same procedure as described above. For the purpose of this regulation, a development or an expansion or modification of an existing development with minor sound impact means a development where the developer demonstrates, by estimate or example, that the regulated sound from routine operation of the development will not exceed 5 dBA less than the applicable limits established under subsection C. It is the intent of this subsection that an applicant need not conduct sound level measurements to demonstrate that the development or an expansion or modification of an existing development will have a minor sound impact.

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NOTE: Examples include subdivisions without structures, office buildings, storage buildings which will not normally be accessed at night, and golf courses.

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**(2) Other Developments**

Technical information shall be submitted describing the applicant's plan and intent to make adequate provision for the control of sound. The applicant's plan shall contain information such as the following, when appropriate:

- (a) Maps and descriptions of the land uses, local zoning and comprehensive plans for the area potentially affected by sounds from the development.
- (b) A description of major sound sources, including tonal sound sources and sources of short duration repetitive sounds, associated with the construction, operation and maintenance of the proposed development, including their locations within the proposed development.
- (c) A description of the daytime and nighttime hourly sound levels and, for short duration repetitive sounds, the maximum sound levels expected to be produced by these sound sources at protected locations near the proposed development.
- (d) A description of the protected locations near the proposed development.

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- (e) A description of proposed major sound control measures, including their locations and expected performance.
- (f) A comparison of the expected sound levels from the proposed development with the sound level limits of this regulation.
- (g) A comparison of the expected sound levels from the proposed development with any quantifiable noise standards of the municipality in which the proposed development will be located and of any municipality which may be affected by the noise.

**E. Terms and Conditions**

The Board may, as a term or condition of approval, establish any reasonable requirement to ensure that the developer has made adequate provision for the control of noise from the development and to reduce the impact of noise on protected locations. Such conditions may include, but are not limited to, enclosing equipment or operations, imposing limits on hours of operation, or requiring the employment of specific design technologies, site design, modes of operation, or traffic patterns.

The sound level limits prescribed in this regulation shall not preclude the Board under Chapter 375.15 from requiring a developer to demonstrate that sound levels from a development will not unreasonably disturb wildlife or adversely affect wildlife populations. In addition, the sound level limits shall not preclude the Board, as a term or condition of approval, from requiring that lower sound level limits be met to ensure that the developer has made adequate provision for the protection of wildlife.

**F. Variance From Sound Level Limits**

The Board recognizes that there are certain developments or activities associated with development for which noise control measures are not reasonably available. Therefore, the Board or Commissioner may grant a variance from any of the sound level limits contained in this rule upon (1) a showing by the applicant that he or she has made a comprehensive assessment of the available technologies for the development and that the sound level limits cannot practicably be met with any of these available technologies, and (2) a finding by the Board that the proposed development will not have an unreasonable impact on protected locations. In addition, a variance may be granted by the Board or Commissioner if (1) a development is deemed necessary in the interest of national defense or public safety and the applicant has shown that the sound level limits cannot practicably be met without unduly limiting the development's intended function, and (2) a finding is made by the Board or Commissioner that the proposed development will not have an unreasonable impact on protected locations. The Board or Commissioner shall consider the request for a variance as part of the review of a completed Site Location of Development Law application. In granting a variance, the Board or Commissioner may, as a condition of approval, impose terms and conditions to ensure that no unreasonable sound impacts will occur.

**G. Definitions**

Terms used herein are defined below for the purpose of this noise regulation.

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- (1) **AMBIENT SOUND:** At a specified time, the all-encompassing sound associated with a given environment, being usually a composite of sounds from many sources at many directions, near and far, including the specific development of interest.
- (2) **CONSTRUCTION:** Activity and operations associated with the development or expansion of a project or its site.
- (3) **EMERGENCY:** An unforeseen combination of circumstances which calls for immediate action.
- (4) **EMERGENCY MAINTENANCE AND REPAIRS:** Work done in response to an emergency.
- (5) **ENERGY SUM OF A SERIES OF LEVELS:** Ten times the logarithm of the arithmetic sum of the antilogarithms of one-tenth of the levels. [Note: See Section H(4.2).]
- (6) **EXISTING DEVELOPMENT:** A development constructed before 1-1-70 or a development approved under the Site Law prior to the effective date of this regulation or a proposed development for which the site location application is complete for processing on or before the effective date of this regulation. Any development with a site location approval which has been remanded to the Board by a court of competent jurisdiction for further proceedings relating to noise limits or noise levels prior to the effective date of these regulations shall not be deemed an existing development and these regulations shall apply to the existing noise sources at that development.
- (7) **EXISTING HOURLY SOUND LEVEL:** The hourly sound level resulting from routine operation of an existing development prior to the first expansion that is subject to this regulation.
- (8) **EQUIVALENT SOUND LEVEL:** The level of the mean-square A-weighted sound pressure during a stated time period, or equivalently the level of the sound exposure during a stated time period divided by the duration of the period.

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NOTE: For convenience, a one hour equivalent sound level should begin approximately on the hour.

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- (9) **HISTORIC AREAS:** Historic sites administered by the Bureau of Parks and Recreation of the Maine Department of Conservation, with the exception of the Arnold Trail.
- (10) **HOURLY SOUND LEVEL:** The equivalent sound level for one hour measured or computed in accordance with this regulation.
- (11) **LOCALLY-DESIGNATED PASSIVE RECREATION AREA:** Any site or area designated by a municipality for passive recreation that is open and maintained for public use and which:
  - (a) has fixed boundaries,

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- (b) is owned in fee simple by a municipality or is accessible by virtue of public easement,
  - (c) is identified and described in a local comprehensive plan, and
  - (d) has been identified and designated at least nine months prior to the filing of the applicant's Site Location of Development application.
- (12) **MAXIMUM SOUND LEVEL:** Ten times the common logarithm of the square of the ratio of the maximum sound to the reference sound of 20 micropascals. Symbol:  $L_{AFmax}$ .
- (13) **MAXIMUM SOUND:** Largest A-weighted and fast exponential-time-weighted sound during a specified time interval. Unit: pascal (Pa).
- (14) **RESIDENCE:** A building or structure, including manufactured housing, maintained for permanent or seasonal residential occupancy providing living, cooking and sleeping facilities and having permanent indoor or outdoor sanitary facilities, excluding recreational vehicles, tents and watercraft.
- (15) **PRE-DEVELOPMENT AMBIENT:** The ambient sound at a specified location in the vicinity of a development site prior to the construction and operation of the proposed development or expansion.
- (16) **PROTECTED LOCATION:** Any location, accessible by foot, on a parcel of land containing a residence or planned residence or approved residential subdivision, house of worship, academic school, college, library, duly licensed hospital or nursing home near the development site at the time a Site Location of Development application is submitted; or any location within a State Park, Baxter State Park, National Park, Historic Area, a nature preserve owned by the Maine or National Audubon Society or the Maine Chapter of the Nature Conservancy, The Appalachian Trail, the Moosehorn National Wildlife Refuge, federally-designated wilderness area, state wilderness area designated by statute (such as the Allagash Wilderness Waterway), or locally-designated passive recreation area; or any location within consolidated public reserve lands designated by rule by the Bureau of Public Lands as a protected location.

At protected locations more than 500 feet from living and sleeping quarters within the above noted buildings or areas, the daytime hourly sound level limits shall apply regardless of the time of day.

Houses of worship, academic schools, libraries, State and National Parks without camping areas, Historic Areas, nature preserves, the Moosehorn National Wildlife Refuge, federally-designated wilderness areas without camping areas, state wilderness areas designated by statute without camping areas, and locally-designated passive recreation areas without camping areas are considered protected locations only during their regular hours of operation and the daytime hourly sound level limits shall apply regardless of the time of day.

Transient living accommodations are generally not considered protected locations; however, in certain special situations where it is determined by the Board that the health and welfare

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of the guests and/or the economic viability of the establishment will be unreasonably impacted, the Board may designate certain hotels, motels, campsites and duly licensed campgrounds as protected locations.

This term does not include buildings and structures located on leased camp lots, owned by the applicant, used for seasonal purposes.

For purposes of this definition, (1) a residence is considered planned when the owner of the parcel of land on which the residence is to be located has received all applicable building and land use permits and the time for beginning construction under such permits has not expired, and (2) a residential subdivision is considered approved when the developer has received all applicable land use permits for the subdivision and the time for beginning construction under such permits has not expired.

- (17) **QUANTIFIABLE NOISE STANDARD:** A numerical limit governing noise from developments that has been duly enacted by ordinance by a local municipality.
- (18) **ROUTINE OPERATION:** Regular and recurrent operation of regulated sound sources associated with the purpose of the development and operating on the development site.
- (19) **SHORT DURATION REPETITIVE SOUNDS:** A sequence of repetitive sounds which occur more than once within an hour, each clearly discernible as an event and causing an increase in the sound level of at least 6 dBA on the fast meter response above the sound level observed immediately before and after the event, each typically less than ten seconds in duration, and which are inherent to the process or operation of the development and are foreseeable.
- (20) **SOUND COMPONENT:** The measurable sound from an audibly identifiable source or group of sources.
- (21) **SOUND LEVEL:** Ten times the common logarithm of the square of the ratio of the frequency-weighted and time-exponentially averaged sound pressure to the reference sound of 20 micropascals. For the purpose of this regulation, sound level measurements are obtained using the A-weighted frequency response and fast dynamic response of the measuring system, unless otherwise noted.
- (22) **SOUND PRESSURE:** Root-mean-square of the instantaneous sound pressures in a stated frequency band and during a specified time interval. Unit: pascal (Pa).
- (23) **SOUND PRESSURE LEVEL:** Ten times the common logarithm of the square of the ratio of the sound pressure to the reference sound pressure of 20 micropascals.
- (24) **TONAL SOUND:** for the purpose of this regulation, a tonal sound exists if, at a protected location, the one-third octave band sound pressure level in the band containing the tonal sound exceeds the arithmetic average of the sound pressure levels of the two contiguous one-third octave bands by 5 dB for center frequencies at or between 500 Hz and 10,000 Hz, by 8 dB for center frequencies at or between 160 and 400 Hz, and by 15 dB for center frequencies at or between 25 Hz and 125 Hz.

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Additional acoustical terms used in work associated with this regulation shall be used in accordance with the following American National Standards Institute (ANSI) standards:

ANSI S12.9-1988 - American National Standard Quantities and Procedures for Description and Measurements of Environmental Sound, Part 1;

ANSI S3.20-1973 - American National Standard Psychoacoustical Terminology;

ANSI S1.1-1960 - American National Standard Acoustical Terminology.

## **H. Measurement Procedures**

- (1) **Scope.** These procedures specify measurement criteria and methodology for use, with applications, compliance testing and enforcement. They provide methods for measuring the ambient sound and the sound from routine operation of the development, and define the information to be reported. The same methods shall be used for measuring the sound of construction, maintenance and production blasting activities. For measurement of the sound of production blasting activities for comparison with the limits of subsection C(4)(c), these same methods shall be used with the substitution of the linear sound level for the A-weighted sound level.

- (2) **Measurement Criteria**

- 2.1 **Measurement Personnel**

Measurements shall be supervised by personnel who are well qualified by training and experience in measurement and evaluation of environmental sound, or by personnel trained to operate under a specific measurement plan approved by the Board or Commissioner.

- 2.2 **Measurement Instrumentation**

- (a) A sound level meter or alternative sound level measurement system used shall meet all of the Type 1 or 2 performance requirements of American National Standard Specifications for Sound Level Meters, ANSI S1.4-1983.
    - (b) An integrating sound level meter (or measurement system) shall also meet the Type 1 or 2 performance requirements for integrating/averaging in the International Electrotechnical Commission Standard on Integrating-Averaging Sound Level Meters, IEC Publication 804 (1985).
    - (c) A filter for determining the existence of tonal sounds shall meet all the requirements of American National Standard Specification for Octave-Band and Fractional Octave-Band Analog and Digital Filters, ANSI S1.11-1986 for Order 3, Type 3-D performance.

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- (d) An acoustical calibrator shall be used of a type recommended by the manufacturer of the sound level meter and that meets the requirements of American National Standard Specification for Acoustical Calibrators, ANSI S1.40-1984.
- (e) A microphone windscreen shall be used of a type recommended by the manufacturer of the sound level meter.

**2.3 Calibration**

- (a) The sound level meter shall have been calibrated by a laboratory within 12 months of the measurement, and the microphone's response shall be traceable to the National Bureau of Standards.
- (b) Field calibrations shall be recorded before and after each measurement period and at shorter intervals if recommended by the manufacturer.

**2.4 Measurement Location, Configuration and Environment**

- (a) Except as noted in subsection (b) below, measurement locations shall be at nearby protected locations that are most likely affected by the sound from routine operation of the development.
- (b) For determining compliance with the 75 dBA property line hourly sound level limit described in subsection C(1)(a)(i), measurement locations shall be selected at the property lines of the proposed development or contiguous property owned by the developer, as appropriate.
- (c) The microphone shall be positioned at a height of approximately 4 to 5 feet above the ground, and oriented in accordance with the manufacturer's recommendations.
- (d) Measurement locations should be selected so that no vertical reflective surface exceeding the microphone height is located within 30 feet. When this is not possible, the measurement location may be closer than 30 feet to the reflective surface, but under no circumstances shall it be closer than 6 feet.
- (e) When possible, measurement locations should be at least 50 feet from any regulated sound source on the development.
- (f) Measurement periods shall be avoided when the local wind speed exceeds 12 mph and/or precipitation would affect the measurement results.

**2.5 Measurement Plans.** Plans for measurement of pre-development ambient sound or post-development sound may be discussed with the Department staff.

**(3) Measurement of Ambient Sound**



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**3.1 Pre-Development Ambient Sound**

Measurements of the pre-development ambient sound are required only when the developer elects to establish the sound level limit in accordance with subsections C(1)(b) and C(1)(e)(ii)(d) for a development in an area with high ambient sound levels, such as near highways, airports, or pre-existing developments; or when the developer elects to establish that the daytime and nighttime ambient hourly sound levels at representative protected locations exceed 45 dBA and 35 dBA, respectively.

- (a) Measurements shall be made at representative protected locations for periods of time sufficient to adequately characterize the ambient sound. At a minimum, measurements shall be made on three different weekdays (Monday through Friday) during all hours that the development will operate. If the proposed development will operate on Saturdays and/or Sundays, measurements shall also be made during all hours that the development will operate.
- (b) Measurement periods with particularly high ambient sounds, such as during holiday traffic activity, significant insect activity or high coastline waves, should generally be avoided.
- (c) At any measurement location the daytime and nighttime ambient hourly sound level shall be computed by arithmetically averaging the daytime and nighttime values of the measured one hour equivalent sound levels. Multiple values, if they exist, for any specific hour on any specific day shall first be averaged before the computation described above.

**3.2 Post-Development Ambient Sound**

- (a) Measurements of the post-development ambient one hour equivalent sound levels and, if short duration repetitive sounds are produced by the development, the maximum sound levels made at nearby protected locations and during representative routine operation of the development that are not greater than the applicable limits of subsection C clearly indicate compliance with those limits.
- (b) Compliance with the limits of subsection C(1)(b) may also be demonstrated by showing that the post-development ambient hourly sound level, measured in accordance with the procedures of subsection 3.1 above during routine operation of the development, does not exceed the pre-development ambient hourly sound level by more than one decibel, and that the sound from routine operation of the development is not characterized by either tonal sounds or short duration repetitive sounds.
- (c) Compliance with the limits of subsection C(1)(e)(ii)(d) may also be demonstrated by showing that the post development maximum sound level of any short duration repetitive sound, measured in accordance with the procedures of subsection 3.1 above, during routine operation of the development, does not exceed the pre-development ambient hourly sound level by more than five decibels.

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- (d) If any of the conditions in (a), (b) or (c) above are not met, compliance with respect to the applicable limits must be determined by measuring the sound from routine operation of the development in accordance with the procedures described in subsection 4.

**(4) Measurement of the Sound from Routine Operation of Developments.**

**4.1 General**

- (a) Measurements of the sound from routine operation of developments are generally necessary only for specific compliance testing purposes in the event that community complaints result from operation of the development, for validation of an applicant's calculated sound levels when requested by the Board or Commissioner, for determination of existing hourly sound levels for an existing development or for enforcement by the Department.
- (b) Measurements shall be obtained during representative weather conditions when the development sound is most clearly noticeable. Preferable weather conditions for sound measurements at distances greater than about 500 feet from the sound source include overcast days when the measurement location is downwind of the development and inversion periods (which most commonly occur at night).
- (c) Measurements of the development sound shall be made so as to exclude the contribution of sound from development equipment that is exempt from this regulation.

**4.2 Measurement of the Sound Levels Resulting from Routine Operation of the Development**

- (a) When the ambient sound levels are greater than the sound level limits, additional measurements can be used to determine the hourly sound level that results from routine operation of the development. These additional measurements may include diagnostic measurements such as measurements made close to the development and extrapolated to the protected location, special checkmark measurement techniques that include the separate identification of audible sound sources, or the use of sound level meters with pause capabilities that allow the operator to exclude non-development sounds.
- (b) For the purposes of computing the hourly sound level resulting from routine operation of the development, sample diagnostic measurements may be made to obtain the one hour equivalent sound levels for each sound component.
- (c) Identification of tonal sounds produced by the routine operation of a development for the purpose of adding the 5 dBA penalty in accordance with subsection C(1)(d) requires aural perception by the measurer, followed by use of one-third octave band spectrum analysis instrumentation. If one or more of the sounds of routine operation of the development are found to be tonal sounds, the

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hourly sound level component for tonal sounds shall be computed by adding 5 dBA to the one hour equivalent sound level for those sounds.

- (d) Identification of short duration repetitive sounds produced by routine operation of a development requires careful observations. For the sound to be classified as short duration repetitive sound, the source(s) must be inherent to the process or operation of the development and not the result of an unforeseeable occurrence. If one or more of the sounds of routine operation of the development are found to be short duration repetitive sounds, the hourly sound level component for short duration repetitive sounds shall be computed by adding 5 dBA to the one hour equivalent sound level for those sounds. If required, the maximum sound levels of short duration repetitive sounds shall be measured using the fast response [ $L_{AFmax}$ ]. The duration and the frequency of occurrence of the events shall also be measured. In some cases, the sound exposure levels of the events may be measured. The one hour equivalent sound level of a short duration repetitive sound may be determined from measurements of the maximum sound level during the events, the duration and frequency of occurrence of the events, and their sound exposure levels.
  - (e) The daytime or nighttime hourly sound level resulting from routine operation of a development is the energy sum of the hourly sound level components from the development, including appropriate penalties, (see (c) and (d) above). If the energy sum does not exceed the appropriate daytime or nighttime sound level limit, then the development is in compliance with that sound level limit at that protected location.
- (5) **Reporting Sound Measurement Data.** The sound measurement data report should include the following:
- (a) The dates, days of the week and hours of the day when measurements were made.
  - (b) The wind direction and speed, temperature, humidity and sky condition.
  - (c) Identification of all measurement equipment by make, model and serial number.
  - (d) The most recent dates of laboratory calibration of sound level measuring equipment.
  - (e) The dates, times and results of all field calibrations during the measurements.
  - (f) The applicable sound level limits, together with the appropriate hourly sound levels and the measurement data from which they were computed, including data relevant to either tonal or short duration repetitive sounds.
  - (g) A sketch of the site, not necessarily to scale, orienting the development, the measurement locations, topographic features and relevant distances, and containing sufficient information for another investigator to repeat the measurements under similar conditions.

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- (h) A description of the sound from the development and the existing environment by character and location.

**I. Sound Level Standards for Wind Energy Developments**

**(1) Applicability**

This subsection applies to grid-scale wind energy developments as defined by 35-A M.R.S.A. § 3451(6) and small-scale wind energy developments governed by 35-A M.R.S.A. §3456, hereinafter referred to as “wind energy developments.” The provisions in Section 10(C)(1), 10(D)(2), 10(F), and 10(H) of this Rule do not apply to wind energy developments.

**(2) Sound Level Limits for Routine Operation of Wind Energy Developments**

The sound levels resulting from routine operation of a wind energy development measured in accordance with the measurement procedures described in subsection I(8) shall not exceed the following limits:

- (a) 75 dBA at any time of day at any property line of the wind energy development or contiguous property owned or controlled by the wind energy developer, whichever is farther from the proposed wind energy development's regulated sound sources; and
- (b) 55 dBA between 7:00 a.m. and 7:00 p.m. (the "daytime limit"), and 42 dBA between 7:00 p.m. and 7:00 a.m. (the "nighttime limit") at any protected location.

**(3) Tonal Sounds**

For the purposes of this subsection, a tonal sound exists if, at a protected location, the 10 minute equivalent average one-third octave band sound pressure level in the band containing the tonal sound exceeds the arithmetic average of the sound pressure levels of the two contiguous one-third octave bands by 5 dB for center frequencies at or between 500 Hz and 10,000 Hz, by 8 dB for center frequencies at or between 160 and 400 Hz, and by 15 dB for center frequencies at or between 25 Hz and 125 Hz. 5 dBA shall be added to any average 10 minute sound level ( $Leq_{A 10-min}$ ) for which a tonal sound occurs that results from routine operation of the wind energy development.

**(4) Short Duration Repetitive Sounds (“SDRS”)**

For the purposes of this subsection SDRS is defined as a sequence of repetitive sounds that occur within a 10-minute measurement interval, each clearly discernible as an event resulting from the development and causing an increase in the sound level of 5 dBA or greater on the fast meter response above the sound level observed immediately before and after the event, each typically  $\pm 1$  second in duration, and which are inherent to the process or operation of the development.

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- (a) When routine operation of a wind energy development produces short duration repetitive sound, a 5 dBA penalty shall be arithmetically added to each average 10-minute sound level ( $Leq_{A 10\text{-min}}$ ) measurement interval in which greater than 5 SDRS events are present.

**(5) Compliance with the Sound Level Limits**

A wind energy development shall determine compliance with the sound level limits as set forth in subsection I(2) of this rule in accordance with the following:

- (a) Sound level data shall be aggregated in 10-minute measurement intervals within a given compliance measurement period (daytime: 7:00 am to 7:00 pm or nighttime: 7:00 pm to 7:00 am) under the conditions set forth in subsection I(8) of this rule.
- (b) Compliance will be demonstrated when the arithmetic average of the sound level of, at a minimum, twelve, 10-minute measurement intervals in a given compliance measurement period is less than or equal to the sound level limit set forth in subsection I(2).
- (c) Alternatively, if a given compliance measurement period does not produce a minimum of twelve, 10-minute measurement intervals under the atmospheric and site conditions set forth in subsection I(8) of this rule, the wind energy development may combine six or more contiguous 10-minute measurement intervals from one 12 hour (7:00 am to 7:00 pm daytime or 7:00 pm to 7:00 am nighttime) compliance measurement period with six or more contiguous 10-minute intervals from another compliance measurement period. Compliance will be demonstrated when the arithmetic average of the combined 10-minute measurement intervals is less than or equal to the sound level limit set forth in subsection I(2).

**(6) Variance from Sound Level Limits**

A variance may be granted by the Department if: (1) a development is deemed necessary in the interest of national defense or public safety and the applicant has shown that the sound level limits cannot practicably be met without unduly limiting the development's intended function, and (2) a finding is made by the Department that the proposed development will not have an unreasonable impact on protected locations. The Department shall consider the request for a variance as part of the review of a completed Site Location of Development Law application or a request for certification for a small-scale wind energy development. In granting a variance, the Department may, as a condition of approval, impose terms and conditions to ensure that no unreasonable sound impacts will occur.

**(7) Submissions**

Technical information shall be submitted describing the wind energy developer's plan and intent to make adequate provision for the control of sound. The wind energy developer's plan shall contain the following:

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- (a) A map depicting the location of all proposed sound sources associated with the wind energy development, property boundaries for the proposed wind energy development, property boundaries of all adjacent properties within one mile of the proposed wind energy development, and the location of all protected locations located within one mile of the proposed wind energy development;
- (b) A description of the major sound sources, including tonal sound sources and sources of short duration repetitive sounds, associated with the construction, operation and maintenance of the proposed wind energy development;
- (c) A description of the equivalent noise levels expected to be produced by the sound sources at protected locations located within one mile of the proposed wind energy development. The description shall include a full-page isopleths map depicting the modeled decay rate of the predicted sound pressure levels expected to be produced by the wind energy development at each clearly identified protected location within one mile of the proposed wind energy development. The predictive model used to generate the equivalent noise levels expected to be produced by the sound sources shall be designed to represent the "predictable worst case" impact on adjacent properties and shall include, at a minimum, the following:
  - 1. The maximum rated sound power output (IEC 61400-11) of the sound sources operating during nighttime stable atmospheric conditions with high wind shear above the boundary layer and consideration of other conditions that may affect in-flow airstream turbulence;
  - 2. Attenuation due to geometric spreading, assuming that each turbine is modeled as a point source at hub height;
  - 3. Attenuation due to air absorption;
  - 4. Attenuation due to ground absorption/reflection;
  - 5. Attenuation due to three dimensional terrain;
  - 6. Attenuation due to forestation;
  - 7. Attenuation due to meteorological factors such as but not limited to relative wind speed and direction (wind rose data), temperature/vertical profiles and relative humidity, sky conditions, and atmospheric profiles;
  - 8. Inclusion of an "uncertainty factor" adjustment to the maximum rated output of the sound sources based on the manufacturer's recommendation; and
  - 9. Inclusion, at the discretion of the Department, of an addition to the maximum rated output of the sound sources to account for uncertainties in the modeling of sound propagation for wind energy developments. This discretionary uncertainty factor of up to 3 dBA may be required by the Department based on the following

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conditions: inland or coastal location, the extent and specificity of credible evidence of meteorological operating conditions, and the extent of evaluation and/or prior specific experience for the proposed wind turbines. Subject to the Department's discretion based on the information available, there is a rebuttable presumption of an uncertainty factor of 2 to 3 dBA for coastal developments and of 0 to 2 dBA for inland developments.

- (d) A description of the protected locations near the proposed wind energy development.
- (e) A description of proposed major sound control measures, including their locations and expected performance.
- (f) A comparison of the expected sound levels from the proposed development with the sound level limits of this regulation.
- (g) A comparison of the expected sound levels from the proposed development with any quantifiable noise standards of the municipality in which the proposed development will be located and of any municipality which may be affected by the noise.
- (h) A description and map identifying one or more compliance testing locations on or near the proposed wind energy development site. The identified compliance testing locations shall be selected to take advantage of prevailing downwind conditions and be able to meet the site selection criteria outlined in subsection I(8)(d)(2).
- (i) A description of the compliance measurement protocol as required by subsection 8 below.
- (j) A description of the complaint response protocol proposed for the wind energy development. The complaint response protocol shall adequately provide for, at a minimum:
  - 1. A 24-hour contact for complaints;
  - 2. A complaint log accessible by the Department;
  - 3. For those complaints that include sufficient information to warrant an investigation, the protocol must provide for an analysis as set forth in (a) through (c) below. Sufficient information includes, at a minimum: the name and address of the complainant; the date, time and duration of the sound event; a description of the sound event, indoor or outdoor, specific location and a description of any audible sounds from other sources outside or inside the dwelling of the complainant. Analysis of the complaint by the licensee must include:

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- (a) documentation of the location of the nearest turbines to the complaint location and ground conditions in the area of the complaint location;
  - (b) weather conditions at the time of the complaint and surface and hub height wind speed and direction;
  - (c) power output and direction of nearest turbines; and
  - (d) notification of complaint findings to the Department and the complainant;
- 4. A plotting of complaint locations and key information on a project area map to evaluate complaints for a consistent pattern of site, operating and weather conditions; and
  - 5. A comparison of these patterns to the compliance protocol to determine whether testing under additional site and operating conditions is necessary and, if so, a testing plan that addresses the locations and the conditions under which a pattern of complaints had occurred.

**(8) Measurement Procedures**

These procedures specify measurement criteria and methodology for use with wind energy development applications, compliance and complaint response. They provide methods for measuring the sound from operation of the wind energy development and set forth the information to be reported.

**(a) Measurement Criteria**

**1. Measurement Personnel**

Measurements shall be supervised by personnel who are well qualified by training and experience in measurement and evaluation of environmental sound, or by personnel trained to operate under a specific measurement plan approved by the Department.

**(b) Measurement Instrumentation**

- 1. A sound level meter or alternative sound level measurement system used shall meet all of the Type 0 or 1 performance requirements of American National Standard Specifications for Sound Level Meters, ANSI S1.4.
- 2. An integrating sound level meter (or measurement system) shall also meet the Type 0 or 1 performance requirements for integrating/averaging in the International Electrotechnical Commission Standard on Integrating-Averaging Sound Level Meters, IEC Publication 61672-1 and ANSI 1.43.



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3. A filter for determining the existence of tonal sounds shall meet all the requirements of the American National Standard Specification for Octave-Band and Fractional Octave-Band Analog and Digital Filters, ANSI S1.11 and IEC 61260, Type 3-D performance.
4. The acoustical calibrator used shall be of a type recommended by the manufacturer of the sound level meter and one that meets the requirements of American National Standard Specification for Acoustical Calibrators, ANSI S1.40.
5. The microphone windscreen used shall be of a type recommended by the manufacturer of the sound level meter.
6. Anemometer(s) used for surface (10 meter (m)) (32.8 feet) wind speeds shall have a minimum manufacturer specified accuracy of  $\pm 1$  mph providing data in one second integrations and 10 min. average/maximum values for the evaluation of atmospheric stability.
7. Audio recording devices shall be time stamped (hh:mm:ss) and at a minimum 16 bit digital, recording the sound signal output from the measurement microphone at a minimum sampling rate of 24 thousand (k) samples per second to be used for identifying events. Audio recording and compliance data collection shall occur through the same microphone/sound meter and bear the same time stamp.

**(c) Equipment Calibration**

1. The sound level meter shall have been calibrated by a laboratory within 12 months of the measurement, and the microphone's response shall be traceable to the National Institute of Standards and Technology.
3. Field calibrations shall be recorded before and after each measurement period and at shorter intervals if recommended by the manufacturer.
4. Anemometer(s) and vane(s) shall be calibrated annually by the manufacturer to maintain stated specification.

**(d) Compliance Measurement Location, Configuration, and Environment**

1. Compliance measurement locations shall be at nearby protected locations that are most likely affected by the sound from routine operation of the wind energy development subject to permission from the respective property owner(s).
2. To the greatest extent possible, compliance measurement locations shall be at the center of unobstructed areas that are maintained free of vegetation and other structures or material that is greater than 2 feet in height for a 75-foot radius around the sound and audio monitoring equipment.

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3. To the greatest extent possible, meteorological measurement locations shall be at the center of open flat terrain, inclusive of grass and a few isolated obstacles less than 6 feet in height for a 250-foot radius around the anemometer location. The meteorological data measurement location need not be coincident with the sound and audio measurement location provided there is no greater than a 5 mile separation between the data collection points and the measurement locations have similar characterization, i.e. same side of the mountain ridge, etc.
4. Meteorological measurements of wind speed and direction shall be collected using anemometers at a 10-meter height (32.8 feet) above the ground. Results shall be reported, based on 1-second integration intervals, and shall be reported synchronously with hub level and sound level measurements at 10-minute measurement intervals. The wind speed average and maximum shall be reported.
5. The sound microphone shall be positioned at a height of approximately 4 to 5 feet above the ground, and oriented in accordance with the manufacturer's recommendations.
6. When possible, measurement locations should be at least 50 feet from any sound source other than the wind energy development's power generating sources.

**(e) Compliance Data Collection, Measurement and Retention Procedures**

1. Measurements of operational, sound, audio and meteorological data shall occur as set forth in subsection I(8)(e)(7 through 10).
2. All operational, sound and meteorological data collected shall be retained by the wind energy development for a period of 1 year from the date of collection and is subject to inspection by the Department and submission to the Department upon request.
3. All audio data collected shall be retained by the wind energy development for a period of four weeks from the date of collection unless subject to a complaint filed in accordance with the complaint protocol approved by the Department and is subject to inspection by the Department and submission to the Department upon request. Specific audio data collected that coincides with a complaint filed in accordance with the approved complaint protocol shall be retained by the wind energy developer for a period of 1 year from the date of collection and is subject to inspection by the Department and submission to the Department upon request.
4. Written notification of the intent to collect compliance data must be received by the Department prior to the collection of any sound level data for compliance purposes. The notification shall state the date and time of the compliance measurement period.

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*Note: Notice received via electronic mail is sufficient regardless of whether it is received during business hours.*

5. Compliance data from the operation of a wind energy development shall be submitted to the Department, at a minimum:
  - (a) Once during the first year of facility operation;
  - (b) Once during each successive fifth year thereafter until the facility is decommissioned;
  - (c) In response to a complaint regarding operation of the wind energy development as set forth in subsection I(7)(j) of the rule and any subsequent enforcement by the Department; and
  - (d) For validation of an applicant's calculated sound levels when requested by the Department.
6. All sound level, audio and meteorological data collected during a compliance measurement period for which the Department has been notified that meets or exceeds the specified wind speed parameters shall be submitted to the Department for review and approval. All data submittals shall be submitted to the Department within 30 days of notification of intent to collect compliance data.
7. Measurement shall be obtained during weather conditions when the wind turbine sound is most clearly noticeable, generally when the measurement location is downwind of the wind energy development and maximum surface wind speeds < 6 miles per hour (mph) with concurrent turbine hub-elevation wind speeds sufficient to generate the maximum continuous rated sound power from the nearest wind turbines to the measurement location. A downwind location is defined as within 45° of the direction between a specific measurement location and the acoustic center of the five nearest wind turbines.

*[Note: These conditions typically occur during inversion periods usually between 11 pm and 5 am.]*

8. In some circumstances, it may not be feasible to meet the wind speed and operations criteria due to terrain features or limited elevation change between the wind turbines and monitoring locations. In these cases, measurement periods are acceptable if the following conditions are met:
  - (a) The difference between the  $L_{A90}$  and  $L_{A10}$  during any 10-minute period is less than 5 dBA; and

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- (b) The surface wind speed (10 meter height) (32.8 feet) is 6 mph or less for 80% of the measurement period and does not exceed 10 mph at any time, or the turbines are shut down during the monitoring period and the difference in the observed  $L_{A50}$  after shut down is equal to or greater than 6 dBA; and
  - (c) Observer logs or recorded sound files clearly indicate the dominance of wind turbine(s).
- 9. Measurement intervals affected by increased biological activities, leaf rustling, traffic, high water flow, aircraft flyovers or other extraneous ambient noise sources that affect the ability to demonstrate compliance shall be excluded from all compliance report data. The intent is to obtain 10-minute measurement intervals that entirely meet the specific criteria.
  - 10. Measurements of the wind energy development sound shall be made so as to exclude the contribution of sound from other development equipment that is exempt from this regulation.

**(f) Reporting of Compliance Measurement Data**

Compliance Reports shall be submitted to the Department within 30 days of notification of intent to collect compliance data or upon request by the Department and shall include, at a minimum, the following:

- 1. A narrative description of the sound from the wind energy development for the compliance measurement period result;
- 2. The dates, days of the week and hours of the day when measurements were made;
- 3. The wind direction and speed, temperature, humidity and sky condition;
- 4. Identification of all measurement equipment by make, model and serial number;
- 5. All meteorological, sound, windscreen and audio instrumentation specifications and calibrations;
- 6. All A-weighted equivalent sound levels for each 10-minute measurement interval;
- 7. All  $L_{A10}$  and  $L_{A90}$  percentile levels;
- 8. All 10 minute 1/3 octave band linear equivalent sound levels (dB);
- 9. All short duration repetitive events characterized by event amplitude. Amplitude is defined as the peak event amplitude minus the average minima

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sound level immediately before and after the event, as measured at an interval of 50 milliseconds ("ms") or less, A-weighted and fast time response, i.e. 125 ms. For each 10-minute measurement interval short duration repetitive sound events shall be reported by number for each observed amplitude integer above 5 dBA.

10. Audio recording devices shall be time stamped (hh:mm:ss) and at a minimum 16 bit digital, recording the sound signal output from the measurement microphone at a minimum sampling rate of 24 thousand (k) samples per second to be used for identifying events. Audio recording and compliance data collection shall occur through the same microphone/sound meter and bear the same time stamp. Should any sound data collection be observed by a trained attendant, the attendant's notes and observations may be substituted for the audio files during the compliance measurement period;
11. All concurrent time stamped turbine operational data including the date, time and duration of any noise reduction operation or other interruptions in operations if present; and
12. All other information determined necessary by the Department.

## **11. Preservation of Historic Sites**

- A. Preamble.** The Board recognizes the value to society of preserving sites of historic significance.
- B. Definition.** As used in this section, "historic site" means any site, structure, district or archaeological site which has been officially included on the National Register of Historic Places and/or on the Maine Historic Resource Inventory, or which is established by qualified testimony as being of historic significance.
- C. Scope of Review.** In determining whether a proposed development will have an adverse effect on the preservation of historic sites either on or near the development site, the Board shall consider all relevant evidence to that effect.
- D. Terms and Conditions.** The Board, may as a term or condition of approval, establish any reasonable requirement to ensure that a proposed development will not adversely affect preservation of any historic site.

## **12. Preservation of Unusual Natural Areas**

- A. Preamble.** The Board recognizes the importance of preserving unusual natural areas for educational and scientific purposes.
- B. Definition.** As used in this section, "unusual natural area" means any land or water area, usually only a few acres in size, which is undeveloped and which contains natural features of unusual geological, botanical, zoological, ecological, hydrological, other scientific, educational, scenic, or recreational significance. By way of illustration, and not limitation, such are, as may include: rare or exemplary plant communities; individual plant species of unusual interest because of size,

species or other reasons; unusual or exemplary bogs; unusually important wildlife habitats, particularly those of rare or endangered species; unusual land forms; fossils and other deposits of importance to geologists; outstanding scenic areas; and others of similar character.

- C. Scope of Review.** In determining whether a proposed development will have an adverse effect on the preservation of unusual natural areas either on or near the development site, the Board shall consider all relevant evidence to that effect.
- D. Terms and Conditions.** The Board may, as a term or condition of approval, establish any reasonable requirement to ensure that a proposed development will not adversely affect the preservation of natural areas.

### 13. Access to Direct Sunlight

- A. Preamble.** The Board recognizes that some existing structures utilize active or passive solar energy systems for purposes such as heating air or water, and that, in these instances, it may be an unreasonable effect on existing uses to deny access to direct sunlight.
- B. Scope of Review.** In determining whether a proposed development will have an adverse effect on access to direct sunlight, the Board shall consider all relevant evidence to that effect, such as evidence that:
- (1) Structures within the proposed development will not block access to direct sunlight to structures utilizing solar energy through active or passive systems.
- C. Terms and Conditions.** The Board may, as a term or condition of approval, establish any reasonable requirement to ensure that a proposed development will not block access to direct sunlight.

### 14. No Unreasonable Effect on Scenic Character

- A. Preamble.** The Board considers scenic character to be one of Maine's most important assets. The Board also feels that visual surroundings strongly influence people's behavior.
- B. Scope of Review.** In determining whether the proposed development will have an unreasonable adverse effect on the scenic character of the surrounding area, the Board shall consider all relevant evidence to that effect, such as evidence that:
- (1) The design of the proposed development takes into account the scenic character of the surrounding area.
  - (2) A development which is not in keeping with the surrounding scenic character will be located, designed and landscaped to minimize its visual impact to the fullest extent possible.
  - (3) Structures will be designed and landscaped to minimize their visual impact on the surrounding area.

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NOTE: The following are GUIDELINES for the landscaping of parking lots, which are structures pursuant to 38 M.R.S.A. Section 482(6) (B).

- (a) Lighting will be shielded from adjacent highways and residential areas.
  - (b) Curbed planting strips will be utilized in parking areas of 2 acres or more. Planting strips will be a minimum of ten (10) feet wide and spaced between every second double bay parking aisle or 200 feet, whichever is less.
  - (c) When the parking lots are adjacent to a residential use, landscaping and/or architectural screens will be utilized to provide an effective perimeter separation area between property lines and the edge of the pavement and/or structures. There will be a minimum setback of fifteen (15) feet from the property line. The Board may require a similar provision when the parking lot is adjacent to other land uses.
  - (d) Planting and maintenance program specifications will be developed to provide the earliest establishment of landscape materials and their maintenance.
  - (e) Planting specifications:
    - (i) Shrubs will be planted with a 24" minimum size for those specified by spread.
    - (ii) Shrubs will be planted with a 36" minimum size for those specified by height.
    - (iii) Shade trees will be highcrowned species with ascending or lateral branching habit indigenous to the area, tolerant to existing soils and urbanized conditions, two-inch minimum caliper measured six inches up from the base, and planted a maximum of 30' on center.
    - (iv) Flowering and evergreen trees will be a minimum of 7' tall and planted a maximum of 20' on center.
    - (v) Selections for ground cover will reflect the project's function, expected foot traffic, exposure, and maintenance program.
  - (f) Provisions will be made to supply water to planted islands and other vegetated areas.
  - (4) The plans for the proposed development provide for the preservation of existing elements of the development site which contribute to the maintenance of scenic character.
- C. Submissions.** Applications for approval of proposed developments shall include evidence that affirmatively demonstrates that there will be no unreasonable adverse effect on the scenic character of the surrounding area, including information such as the following, when appropriate:
- (1) Sketches of the proposed development indicating how the development fits into the scenic character of the area.

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- (2) Landscaping plans for minimizing the visual impact of the parking lots, mining operations and other types of developments.

**D. Terms and Conditions.** The Board may, as a term or condition of approval, establish any reasonable requirement to ensure that the proposed development will have no unreasonable adverse effect on scenic character, such as requiring that:

- (1) Illumination of the development be limited.
- (2) Vegetative or architectural screens be established.

## **15. Protection of Wildlife and Fisheries**

**A. Preamble.** The Board recognizes the need to protect wildlife and fisheries by maintaining suitable and sufficient habitat and the susceptibility of certain species to disruption and interference of lifecycles by construction activities.

**B. Scope of Review.** In determining whether the developer has made adequate provision for the protection of wildlife and fisheries, the Board shall consider all relevant evidence to that effect, such as evidence that:

- (1) A buffer strip of sufficient area will be established to provide wildlife with travel lanes between areas of available habitat.
- (2) Proposed alterations and activities will not adversely affect wildlife and fisheries lifecycles.
- (3) There will be no unreasonable disturbance to:
  - (a) High and moderate value deer wintering areas.
  - (b) Habitat of any species declared threatened or endangered by the Commissioner, Maine Department of Inland Fisheries and Wildlife or the Director of the U.S. Fish and Wildlife Service.
  - (c) Seabird nesting islands;
  - (d) Significant vernal pools;
  - (e) High and moderate value waterfowl and wading bird habitat; and
  - (f) Shorebird nesting, feeding, and staging areas.

**C. Submissions.** Applications for approval of proposed developments shall include evidence that affirmatively demonstrates that the developer has made adequate provision for the protection of wildlife and fisheries, including information such as the following, when appropriate:

- (1) The location of natural buffer strips and adequate provision for their maintenance.



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- (2) Plans to mitigate adverse effects on wildlife and fisheries through means that at a minimum include, but are not limited to, design considerations, pollution-abatement practices, the timing of construction activities, and on-site or off-site habitat improvements or preservation.

**D. Terms and Conditions.** The Board may, as a term or condition of approval, establish any reasonable requirement to ensure that a developer has made adequate provision for the protection of wildlife and fisheries.

**16. Adequate Provision for Solid Waste Disposal**

**A. Standard.** The applicant shall make adequate provision for solid waste disposal. All solid waste will be disposed of in a manner which ensures that:

- (1) No adverse effects on the natural environment will result;
- (2) Public health, safety, and welfare will not be adversely affected; and
- (3) The wastes will not combine with other wastes, water, or other natural or man-made substances to create additional harmful effects to the natural environment or the public health, safety, and welfare.

**B. Submissions.** The application for approval of a proposed development must include evidence that affirmatively demonstrates the developer has made adequate provision for solid waste disposal, including, but not limited to, the following information:

- (1) The types and estimated quantities of solid waste to be generated by the development and the proposed method of disposal. Types of solid waste may include, but not be limited to, stumps/grubbings, construction debris, demolition debris, household solid waste, industrial solid wastes, special wastes and hazardous wastes.
- (2) A letter from the operator of a solid waste management facility or a municipality stating that adequate capacity exists for solid waste generated by the development and that the development may utilize the solid waste management facility. Indicate the method of collection (e.g. private, municipal or commercial) and the location of the solid waste management facility for each waste listed. If waste from the site is taken to a transfer station, identify the facility or facilities at which the waste is ultimately managed.
- (3) If any stumps, grubbings, or other wood waste or land clearing debris is to be disposed of on-site, the applicant must comply with 38 M.R.S.A. § 1301 et. seq. and all applicable sections of the department's Solid Waste Management Rules. There are provisions within the Solid Waste Management Rules to exempt the processing of certain land clearing debris as described in 06-096 CMR 409(1)(B)(3). Delineate the disposal area on the site plan. For subdivisions, if waste is proposed for on-site burial on a particular lot, that lot must be conveyed with a deed restriction identifying the disposal area.
- (4) If wood wastes are to be burned on-site, show the burn area on the site plan and provide exclusion plans for materials prohibited from being burned (including chemically treated wood, plastics, vinyl, asphalt shingles, etc). On-site burning cannot create a nuisance

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condition, and evidence of all applicable fire permits must be submitted. Provide plans for handling both unburned wood waste and woodash, including the name of the licensed or exempt solid waste facility that will accept or receive or manage the ash and unburned materials and the name of the licensed or exempt transporter who will transport the materials to the proposed solid waste facility. If applicable, include evidence of capacity to accept the waste from an approved solid waste facility or a plan outlining the usage of these materials in landscaping and reclamation of the site. Include information on ash/topsoil mixing ratios and application rates. Any proposed open burning must comply with the Rules for Open Burning, 06-096 CMR 102.

- (5) When the proposed development is or includes the establishment of a solid waste disposal facility, the applicant shall supply evidence of compliance with the Solid Waste Management Act (38 M.R.S.A., Section 1301 et seq.).

**C. Terms and Conditions.** The department may, as a term or condition of approval, establish any reasonable requirement to ensure that the applicant has made adequate provision for solid waste disposal, such as the following:

- (1) a groundwater quality monitoring program;
- (2) on-site construction supervision or engineering inspection by a certified engineer or geologist; and/or
- (3) operational inspections and reports by an independent consultant.

## **17. Adequate Provisions for the Control of Odors**

**A. Standard.** The applicant shall make adequate provision for controlling odors.

**B. Submissions.** The application for approval of any development likely to be the source of offensive odors shall include evidence that affirmatively demonstrates that the developer has made adequate provision for the control of odors, including, but not limited to, the following information:

- (1) the identification of any sources of odors from the development;
- (2) an estimation of the area which would be affected by the odor, based on experience in dealing with the material or process used in the development, or similar materials or processes; or
- (3) proposed systems for enclosure -of odor-producing materials and processes, and proposed uses of technology to control, reduce or eliminate odors.

**C. Terms and Conditions.** The department may, as a term or condition of approval, establish any reasonable requirement to ensure that the developer has made adequate provision for the control of odors.

## **18. Adequate Provision for Securing and Maintaining Sufficient and Healthful Water Supplies**

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**A. Standard.** The applicant shall make adequate provision for securing and maintaining a sufficient and healthful water supply for the proposed development.

**B. Submissions.** The application for approval of proposed developments shall include evidence that affirmatively demonstrates that the applicant has made adequate provision for securing and maintaining a sufficient and healthful water supply, including, but not limited to, the following information:

(1) A letter from the appropriate utility or water district that a sufficient- and healthful water supply exists and may be utilized by the development.

(2) If water is to be supplied on-site, a letter from a geologist or well driller knowledgeable about the area where the development is located that a sufficient and healthful water supply is likely to be available.

(a) If there is reasonable doubt that a sufficient and healthful water supply can be provided by means of on-site wells, the following may be required:

(i) water from wells located in close proximity to the development site be tested for potability; and/or

(ii) a test well be dug or drilled on the development site and at report prepared indicating the volume and potability of water obtained from the well.

(3) If water supply and sewage disposal are to be handled on-site, and if lots are less than 2 acres in size, identification of the location of wells and on-site sewage disposal systems for each lot. The separation distance between wells and on-site sewage disposal areas shall be at least the minimum distance established in the Maine State Internal Plumbing Code.

(4) If water is to be provided by a common source:

(a) evidence that there will be sufficient water to serve the development;

(b) evidence that the common water supply system will be constructed in conformance with the Maine Drinking Water Regulations, authorized by 22 M.R.S.A., Chapter 601; and

(b) evidence that adequate provision has been made for the establishment of a mechanism to ensure proper operation and maintenance of the water supply system.

(5) Indicate the total anticipated water usage (daily or annual) by the proposed development, including the amount of non-potable water used for dust control during construction.

**C. Terms and Conditions.** The department may, as a term or condition of approval, establish any reasonable requirement to ensure the adequate provision of a sufficient and healthful water supply, such as the following:

(1) One or more central wells be installed with adequate water for the development.

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(2) An applicant arrange for adequate water service with a local utility or water district, or provide an adequate off-site common well, in cases where the department determines that on-site water supplies may not be adequate.

(3) The location of wells and on-site sewage disposal areas be established by deed conditions.

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STATUTORY AUTHORITY: 38 M.R.S.A. Section 343

EFFECTIVE DATE:

November 1, 1979, filing 79-507

AMENDED:

November 21, 1989 – Section 10, filing 89-500

June 12, 1991 - Section 9, filing 91-211

EFFECTIVE DATE (ELECTRONIC CONVERSION): May 4, 1996

AMENDED:

September 22, 2001 - Section 9, filing 2001-402

January 18, 2006 - Section 15, filing 2006-12

June 10, 2012 – Section 10, filing 2012-147 (Final adoption, major substantive)

**Chapter 380: LONG-TERM CONSTRUCTION PROJECTS (SITE LAW)**

**SUMMARY:** These rules describe requirements associated with a long-term construction project, as provided for by 38 M.R.S.A. § 485-A(1-C).

**1. Applicability.** This chapter describes requirements associated with a long-term construction project. This chapter applies to a development or amendment to a development for which approval is issued on or after the effective date of this chapter. This chapter also applies to a development issued a “planning permit” pursuant to the original Chapter 380 (Effective July 25, 1997) if the permittee requests to modify the permit so as to come under the current Chapter 380, and the department approves the modification. This chapter does not apply to metallic mineral mining or advanced exploration activities.

**2. Definitions.** As used in this chapter, the following terms have the following meanings.

**A. Long-term construction project.** A long-term construction project is a project authorized by an individual permit or amendment issued pursuant to the Site Law for construction that either is not anticipated at the time of approval to be substantially completed, or is not substantially completed, within ten years from the date of approval.

A long-term construction project that is not anticipated to be completed within ten years typically occupies large areas of land that will be developed over a significant period of time, such as large-scale mixed-use developments, airports, schools or postsecondary institutions and ski resorts.

(1) Amendments. An initial long-term construction project and subsequent amendments are considered individually, when determining whether a project is not substantially completed within ten years from the date of approval.

(a) For example, if a development is permitted on 10/1/2015, then it would be considered “long-term” if not substantially completed by 10/1/2025, except where amended as provided in (b).

(b) If an amendment to a permit was issued on 10/1/2021, then the area subject to the amendment would be considered long-term if not substantially completed by 10/1/2031, and the remainder of the original development would be considered long-term if not substantially completed by 10/1/2025.

(2) Permit by rule or minor revision. Determination of whether a project is a long-term construction project is not affected by the approval of a modification to the permit by means of a permit by rule or minor revision.

For provisions concerning modifications, which include amendments, minor revisions, and permit by rule, see *General Provisions, Site Location of Development (Site Law)*, 06-096 CMR 371(8).

**B. Substantially completed.** For purposes of this chapter “substantially completed” means that all major construction is completed. Final landscaping, installation of permanent erosion control measures, signage, site clean-up, and similar minor activities may still be underway following substantial completion.

- 3. Standards.** The applicant shall demonstrate that the development meets all applicable standards of 38 M.R.S.A. § 484, and the rules adopted pursuant thereto. Approval is based upon compliance of the entire development with the standards of the Site Law. A proper analysis of the potential primary, secondary and cumulative impacts of a proposed development can be made only when the entire development, including all phases, is considered. However, this determination may be based in part upon specified and limited assumptions as determined appropriate by the department. See also Section 4(B) of this chapter.

#### **4. Procedure**

- A. Election.** The applicant for a permit for a long-term construction project may elect to provide specific designs or, alternatively, may choose to specify assumptions for the development or a portion of the development as allowed by the department on a site-specific basis. In either case, the applicant shall provide sufficient information to the department to allow a determination concerning whether standards will be met.

- (1) Specified assumptions. Assumptions that may be specified include but are not limited to: the maximum developed area; the maximum amount of impervious area in each subwatershed, the types and maximum volume of waste, potential impacts to surface water and groundwater, and infrastructure requirements in each subwatershed or other relevant division of the area licensed under the permit. The types of assumptions that may be allowed by the department depend upon the type of proposed development and the site selected.
- (2) Plans. If the applicant elects to specify assumptions, the department may require the submission of specific built-out plans prior to construction, for review and approval, and may include limits in the terms of the permit or place conditions on the permit to address specific aspects of the unspecified future development, including but not limited to stormwater management, water supply, wastewater, groundwater, air emissions, odors, water vapor, storage and handling of potential contaminants, and scenic character.
- (3) Changes. Any changes to the approved assumptions must be submitted to the department for review and approval, and are processed as a minor revision or an amendment as applicable. The permittee seeking a modification of the permit must meet the standards in effect at the time the application for the modification is accepted as complete for processing.

- B. Conformance of future construction with rules.** The permittee of a long-term construction project shall submit periodic construction reports to the Department as described in B(1) and B(2). The permittee shall demonstrate that the following standards are met.

- (1) Five year review. If the permittee has not completed the development by five years from the date the long-term construction permit was issued, the permittee shall review the undeveloped portion of the parcel for habitats of species that are of special concern, threatened, or endangered and for rare natural communities and ecosystems (State rarity rank of S1 through S3) as listed by the Maine Natural Areas Program, as of five years from the date of permit issuance, and as of each date five years thereafter until the development is substantially complete. If any such habitat or species are discovered, the permittee must establish appropriate buffers or take other protective measures acceptable to the department in order to preserve the habitat.

For purposes of this paragraph, “habitats of species that are of special concern, threatened or endangered” are as defined in *No Adverse Environmental Effect Standard of the Site Location Law*, 06-096 CMR 375(9)(B)(1) and (15)(B)(1)(a)(i) – (iii).

- (2) Ten year review. If the permittee has not completed the development by ten years from the date the long-term construction permit was issued, the permittee shall do the following at ten years from the date of permit issuance, and as of each date ten years thereafter until the development is substantially complete.

- (a) Demonstrate that construction during the period from ten to twenty years following permit issuance is in conformance with the rules in effect as of ten years from permit issuance. Following this pattern, the permittee must ensure that remaining construction is in conformance with current rules every 10 years following permit issuance, until the development is substantially complete.

The department may waive this requirement for a particular 10-year review, for a particular development or class of developments for which the department determines the change in rules to be insignificant. The waiver does not require rulemaking.

- (b) Demonstrate that the requirements of *Financial Capacity and Technical Ability Standard of the Site Location Law*, 06-096 CMR 373 are still met for any portion of the development that is not substantially complete.

- 5. Submissions.** An application for a proposed long-term construction project must include evidence that demonstrates that the standards of the Site Law and rules adopted pursuant thereto are met. In addition, evidence must be provided demonstrating that the following standards are met.

- A. Identification of areas and resources protected under the Site Law and rules.** If specified assumptions for the development or portion of the development rather than specific designs are provided, then the applicant must identify all areas protected under the Site Law and rules in all areas proposed for development, although the specific nature and extent of that development activity may not be known at the time that the permit is issued. If the development is within the viewshed of a scenic resource, the applicant must provide sufficient information concerning the entire development to allow the department to make a finding that scenic standards will be met.
- B. Five year review.** The permittee of a long-term construction project shall conduct a survey of the undeveloped part of the parcel for habitats of species that are of special concern, threatened, or endangered, and for rare natural communities and ecosystems (State rarity rank of S1 through S3) as listed by the Maine Natural Areas Program, as of five years from the date of permit issuance, and as of each date five years thereafter until the development is substantially completed. If any such habitat or species is discovered, the permittee must demonstrate the establishment of appropriate buffers or other protective measures acceptable to the department in order to preserve the habitat. These buffers shall be shown on as-built plans of the development completed within the five year period.

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**C. Ten year review.** The permittee of a long-term construction project shall demonstrate that portions of the development not yet constructed will be in compliance with standards in effect at the time of the ten year review, including but not limited to:

- (1) An evaluation of the conformance of future construction with department rules in effect at the time of the evaluation, to the extent that rules have been adopted or amended in the previous ten years period following issuance of the permit, unless this requirement is waived by the department.
- (2) Identification and delineation of any previously unidentified areas and resources protected under the Site Law and rules.
- (3) Demonstration that the requirements of *Financial Capacity and Technical Ability Standard of the Site Location Law*, 06-096 CMR 373 are still met for any portion of the development that is not substantially complete. See the submission requirements at Chapter 373(2)(C) and (3)(C).

### 6. Conditions

**A. Standard conditions.** The standard conditions specified in Chapter 372 apply to long-term construction permits. However, compliance with the periodic reporting requirements of sections 5(B) and 5(C) above shall constitute a renewal of the permit for the purposes of Chapter 372 Section 12(F).

**B. Special conditions.** The department may, as a term or condition of approval, establish any reasonable requirement to ensure that the proposed development will proceed in accordance with the Site Law and rules, including periodic review of the yet underdeveloped portions of the project under department rules applicable at the time of review, such as requiring the following.

- (1) Changes to specified assumptions. Any changes to the approved specified assumptions regarding lot development and stormwater management, water supply, wastewater, groundwater, air emissions, odors, or water vapor described in this Order shall be submitted to the Bureau of Land Resources for review and approval.
- (2) Wastewater discharges. All wastewater discharges must be sanitary in nature with strength of 250 mg/L or less for both biological oxygen demand (BOD) and total suspended solids (TSS).

**7. Inspection.** The department may inspect the site at any time to determine the state of compliance with this section and other applicable laws or rules, and the permittee must take all measures necessary to correct any noncompliance with applicable law and rules.

**8. Modification prior to or following substantial completion of construction.** The granting of a permit is dependent upon and limited to the proposals, plans, and specific assumptions contained in the application and supporting documents submitted and affirmed to by the applicant. Any variation from these plans, proposals, and supporting documents must be submitted to the department for review and approval prior to implementation.



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AUTHORITY: 38 M.R.S.A. § 341-D(1-B) and § 485-A(1-C)

REPEALED AND REPLACED: